



# Field Officer Manual Household Questionnaire and Sample Collection

March 2017











This manual is to guide TUMIKIA field officers during cross-sectional parasitological surveys. It relates directly to the Household Questionnaire and Sample Collection Form.

In the Household Questionnaire and Sample Collection Form, households are pre-selected from existing household listings. The form pulls and confirms household information before proceeding with the questionnaire. The questionnaire includes questions on household demographics and education, household assets and construction, water and sanitation access, and observations of the household's sanitation and handwashing facilities. Household questions were adapted from several sources including <u>Demographic and Health</u> <u>Surveys</u> and the <u>WHO and UNICEF Joint Monitoring Programme</u> and other instruments provided by study collaborators. Using the entered household members, the form randomly selects a member to be asked to provide a stool sample. For selected individuals who agreed to participate, additional questions on their sanitation-related practices, recent deworming, and observations of shoe wearing are recorded. Following the questionnaire, the QR code on the sample pot is scanned to link the collected stool sample with the household questionnaire data. The QR code on this sample pot is also utilised by the laboratory reporting forms.

The form was designed by members of the TUMIKIA Project team and programmed by Dr William Oswald and Stephen Okiya. The manual was produced by members of the TUMIKIA Project team.

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# Section 1: Introduction

The Government of Kenya is committed to eliminating intestinal worms in Kenya. Approximately 15 million Kenyans are estimated to be infected with intestinal worms - hookworm, *ascaris* and *trichuris* - and more than 5 million of them are children. The current control strategy for intestinal worms recommended by the World Health Organization (WHO) is annual treatment of all school-aged children. In Kenya, the successful National School-Based Deworming Programme (NSBDP), jointly implemented by the Ministries of Health and Education with support from the Deworm the World Initiative at Evidence Action, covers a large number of school-aged children: 5.9 million children in 2012-2013 and 6.4 million children in 2013-2014.

Building on the success of the NSBDP the Government of Kenya is keen to expand coverage and reach other members of the community also infected with worms. The use of community health workers to deliver deworming treatment to community members is likely to be an effective strategy, while also strengthening current health systems. Knowing who to treat, for how long and how to reach them are vital for designing effective treatment programmes and will help us move closer to elimination of intestinal worms. The ultimate test is to investigate the effect of different deworming strategies within the ongoing national programme.

We want to learn whether combining school- and community-based deworming is a more effective method of controlling and ultimately eliminating intestinal worms in Kenya than school-based deworming alone. We will do this through the TUMIKIA Project, a cluster randomised trial conducted by The Kenya Medical Research Institute (KEMRI) in collaboration with the Ministry of Health and Ministry of Education, Science and Technology, the London School of Hygiene & Tropical Medicine alongside Deworm the World Initiative at Evidence Action and Imperial College London. This is a two-year study nested within the NSBDP.

TUMIKIA Project aims to determine whether combining school and community based deworming is more effective at controlling and eliminating soil transmitted helminths (STH or intestinal worms) in Kenya than school based deworming alone, and what frequency of deworming is required to stop transmission.

To do this a comparison will be made between three different interventions. A total of 120 clusters (community units of approximately 1000 households, comprising varying numbers of villages) will be selected by chance into one of the three groups:

1. Base: Annual school-based deworming (2-14 yrs)

2. Increased coverage: Annual school- and community-based deworming (2-99 yrs)

**3. Increased coverage & frequency**: Bi-annual school- and community-based deworming (2-99 yrs)

For all three groups, the school-based deworming (both annual and bi-annual) will be provided by the NSBDP, which targets all school-aged children (enrolled and non-enrolled) aged 2-14 for treatment with 400mg Albendazole distributed by trained teachers at primary schools. In groups 2 and 3, community-based treatment of **all** individuals not treated through the school-based deworming will be delivered household to household by trained community health volunteers (CHVs).

# **Evaluation methods**

The primary outcome will be the prevalence of hookworm infection among all sampled individuals during 24 months of follow-up by means of repeat cross-sectional surveys. We selected hookworm among the three STH species because it was common in the study site and occurred at the highest prevalence. It is also the species which contributes most to morbidity, being responsible for the most DALYs lost. New populations of individuals will be selected for each survey (baseline, 12 months and 24 months) due to ethical considerations of treating those found infected during the surveys. Selected individuals will be asked to provide a stool sample which will be examined in duplicate within one hour of preparation using the Kato-Katz method. Individuals found infected will be revisited by the study team and treated with albendazole.

The main secondary outcomes include:

- Prevalence of *Ascaris lumbricoides* and *Trichuris trichiura* in an age-stratified sample, based on expert microscopy and (in a random subsample) PCR.
- Intensity of infection for each STH species, based on quantitative egg counts.

# Field officer roles

As a field officer role is to conduct the research evaluation activities. These include:

- Standard baseline and follow up surveys at 0, 12 and 24 months in 120 communities.
  - A random sample of 225 households (HH head and sampled individual)
  - Parasitological outcomes (stool sample), including levels of infection, will be assessed.
  - Household surveys will be conducted to collect information on sanitation and hygiene
- Intensive baseline and follow up surveys every 3 months in 6 communities.
  - A random sample of 225 households (HH head and sampled individual)
  - Parasitological outcomes (stool sample), including levels of infection, will be assessed.
  - 7-day whole stool collection will be carried out to assess worm burden
  - Household surveys will be conducted to collect information on sanitation and hygiene
- Additional activities in all communities:
  - Adherence and coverage of the strategies will be measured using routine and scheduled survey data.
  - Feasibility and acceptability of using CHWs to deworm will be assessed by focus group discussions.
  - The costs and cost-effectiveness of different deworming strategies will be measured.

# This SOP describes the standard cross sectional endline survey to be conducted for the TUMIKIA Project.

# Teams

- For the standard endline survey there will be <u>12 teams</u> each with <u>9 field officers</u>.
- Each team will have its own car every day to take them to the field.
- Each team will cover one cluster across three days sampling a total of 225 individuals
- Each FO team will be linked to a lab technician team, who will read their samples every afternoon. The lab team will be stationed in a lab or dispensary nearby.
- The FO teams will start early in the morning and aim to be finished by 3pm.
- The samples will be delivered to the lab teams and they will prepare and read the slides
- Meanwhile the teams will proceed back to the office, check in, and collect together their materials for the next day.

# **Daily structure**



In addition to the team above there will be 11 other teams in different clusters.

Sample numbers will vary depending on clusters and numbers of call backs per day. Increased numbers of samples are anticipated for days 2 and 3 and less for day one as call-backs will be left.

#### Introduction

# Section 2: Before Leaving for the Field

There are numerous tasks to be completed every morning before leaving for the field. This section covers the daily preparations for the field. There are **12 teams of 9 field officers**. Responsibilities should be divided up equally within the team so that the whole group is working efficiently, especially in the morning when preparing to leave for the field.

#### Dress code

There is no uniform for field officers, but a practical dress code is necessary when in the field walking in the communities and visiting households. The reasons for this are to:

- 1. Develop and maintain respect and trust during the surveys
- 2. Establish credibility as a KEMRI field officer
- 3. Ensure you are cool and comfortable and able to walk extensively in tough terrain

Comfortable shoes such as trainers should be worn by all. No high heels or wedge shoes Skirts at or below the knee and no strapless dresses or vest tops without a shawl for women. Smart T-shirts or shirts for males.

You all have a TUMIKIA cap and t-shirt which you can wear in the field.

#### **Arrival time**

Arrival time at the office is set as 6.00am. Vehicles will leave for the field between 6.30 and 7.00am. When heading to clusters far from the office such as Kinango and Lunga Lunga, the cars will leave as close to 6.30am as possible.

#### **Establish destination**

The lists of teams, destinations and cars will be sent out and posted on the wall in advance. Please start by checking this and noting down the car you will be travelling in. You will be travelling to the same cluster for three days in a row. However, you may be in a different car for each of those three days, so be sure to check the number plate each morning. This list also documents which team member is responsible for each item to be taken to the field. Make sure you know what your responsibility is.

#### **Collecting Smartphones**

The member responsible for the team's smartphones must see Tuva to collect the bunch of 9 phones. Each field officer is assigned the same smartphone for the duration of the survey period. The ID on the phone will relate to your enumerator ID so they can be kept track of. If a team member is absent, please inform Tuva and the phone will be kept safely in the office.

Once given the smartphone, please switch it on and make sure the battery is fully charged and the correct survey version is loaded. Ensure the WIFI and GPS are switched off. Then switch the phone off and make sure you do not switch it on again until you are dropped off by the vehicle in your starting location.

#### Collect list of households & stool pot stickers

The team leader must collect the household lists and village elder lists for the team on the first day of each new cluster, which will be used for the three days. Stool pot stickers should

also be collected from at the start of each cluster. Each member will have their own list and set of 33 stool pot stickers. The 300 households will be divided by the team into 9 sets of households on the way to the field. Or when the village elders are met at the clusters. These lists and any remaining stool pot stickers should be collected by the team leader once the last sample has been collected from the cluster, and returned to the office.

# **Collect Water**

The member responsible for water is to collect a 500ml bottle of water for each FO in the team, as well as their guide, and for the driver (a total of 19 bottles). These will be collected from the storeroom. Due to the hot weather it is advised that you bring additional drinking water to put into your backpacks.

# Collect piki piki money

Every day you will be provided with 100ksh for piki piki transport in the field. You will have an allowance form you will use for the week to sign for this allowance. If by Friday you have been in work every day – on top of the 100ksh allowance you will receive a 300ksh bonus. Thus 100+100+100+100+400=800. If you have been absent for a day this will be 100+100+100+100=400. Half is to be used coming to and from the office in the morning and evening as we understand it is often dark when travelling. The other half is to be used when in the field at your discretion. It is assumed that some days you will need a piki to travel to some households and other days you will not need this.

# Collect village guide allowances and allowance forms

The office will have communicated that a team will be visiting the cluster in advance and will have requested that guides be made available. These guides may be village elders or community health volunteers. The member responsible for collection of the village guide allowance form must collect 9 sets of 400ksh allowance for each of the 9 team members.

The village guide must write their name, the amount, the date and their signature on the form rather than the field officer filling in all the details and just having the village guide sign.

At the end of the week each officer must return the signed form with a village guide having signed for the allowance for each of the 5 days to account for the 2000ksh.

# NO FIELD OFFICER IS TO WALK AND CONDUCT SURVEYS IN VILLAGES WITHOUT A GUIDE

# **Collect forms**

The members responsible for forms collect:

- 1. 13 information sheets per team member (1 per household) [120 per team]
- 2. 40 adult consent forms per team member [360 per team]
- 3. 20 parent/guardian consent forms per team member [180 per team]
- 4. 16 adolescent assent forms per team member [140 per team]

# **Collect stool collection kits**

The member responsible for the stool pots collect one plastic bag per team member. Each plastic bag will have 13 stool collection kits in it. Collect one bag of 10 stool collection kits for the vehicle so there are spares for the team should they need them. These empty kits can be carried in the backpack, and once full, in the carrier bags. Each team is given a box of 100 latex gloves per week per team.

# **Confirm all required materials**

- 1 backpack
- 1 TUMIKIA cap
- 1 smartphone with neck strap and flip cover
- 1 battery extension pack
- 1 list of sampled households to visit
- 1 set of 33 stool pot stickers
- 1 name badge
- 1 laminated introduction letter
- 2 bottles of 500ml water
- 1 village guide allowance form
- 1 piki piki allowance form
- 1 clipboard
- 2 pens
- 1 notebook
- 1 stamp pad
- 1 copy of the Frequently Asked Questions
- 2 copies of the hardcopy questionnaire
- 13 copies of Kiswahili information sheets
- 40 copies of written informed consent forms (adults)
- 20 copies of written informed consent forms (parent/guardians)
- 16 copies of written informed assent forms (children 13-18yrs)
- 1 sealable plastic folder
- 13 stool collection kits (a black bag containing 3 pieces of newspaper, 3 squares of toilet paper, a stool polypot)
- latex gloves
- Any additional snacks and drinks of your choice

# Section 3: Arriving at the Field

#### Introduction

It is very important to follow protocol when entering a village. It is a sensitive topic, and if the numbers were low for the sensitization meetings, this may need to be repeated to ensure everyone is aware why you are there and what you plan to do. This section of the SOP covers the steps to follow when arriving in the communities.

#### Selection of guides

The office will have informed the chief, assistant chief, ward administrators, village assistants, PHO (public health officer) and CHA (community health assistant) that the team will be working in the cluster for the next three days and will request guides, either CHVs (community health volunteers) or village elders. If there is a specific place that we have been told for you to meet the guides we will let you know. When you arrive, please make contact with the village elders and assistant chief to let them know you have arrived.

The first choice for guides will be CHVs, and the second choice is village elders. The team of nine individuals may use a combination of CHVs and village elders as guides. If on arrival there are insufficient guides available please ensure you find another local villager who can assist in accompanying you to the households. Guides must be 18 years or above.

YOU MUST NOT WALK ALONE OR EVEN IN PAIRS WITHOUT A LOCAL GUIDE.

#### **Payment of guides**

Each field officer has 400ksh and a bottle of water for their guide. Please make sure that before they accept to walk with you for the day, they know what they will receive at the end of the day. The guides should be paid at the end of the day. If you would like to work with the guide for the three days as you will be in the same village for the duration, this is OK. If you will be in a different village each day, you will need a different guide each day from each of the villages. This decision will depend on how the team divides the work.

#### Work by day

Day 1 is expected to be the slowest, as the team will be familiarizing themselves with the new cluster and meeting the guides and dividing up the work across the villages, so the surveys will start later. The surveys on days 2 and 3 should start earlier, there should be call backs to conduct and the guides can be arranged the day before. Also days 1 and 2 call-backs will be set so on day 1 it is expected that less samples will be collected.

# **Section 4: Seeking Informed Consent and Assent**

### Introduction

On arrival at the household, following introductions and the presentation of the letter of introduction, the first activity is to explain the TUMIKIA Project and seek informed consent (and in some cases, assent) from the required individuals in the household. This section describes the key principles of informed consent and the processes undertaken in the field when seeking and obtaining this.

#### Definitions

"Informed consent" is the voluntary agreement of an individual, or his or her authorized representative, who has the legal capacity to give consent, and who exercises free power of choice, without undue inducement or any other form of constraint or coercion to participate in research. The individual must have sufficient knowledge and understanding of the nature of the proposed research, the anticipated risks and potential benefits, and the requirements of the research to be able to make an informed decision. (Levine, R.J. "Ethics and Regulations of Clinical Research.")

"Assent" is a term used to express willingness to participate in research by persons who are by definition too young to give informed consent but who are old enough to understand the proposed research in general, its expected risks and possible benefits, and the activities expected of them as subjects. Assent by itself is not sufficient, however. If assent is given, informed consent must still be obtained from the subject's parents or guardian

Principles for informed consent

- The subject/guardian must be COMPETENT in the language of communication
- The research team must DISCLOSE all relevant information to the subject
- The subject must COMPREHEND the information and understand how their involvement in the study differs from normal clinical care.
- The subject must AGREE to the proposed intervention/procedures in the research study
- The subject's agreement must be VOLUNTARY and free from coercion
- The subject must be informed that, even after voluntarily agreeing to take part, they may WITHDRAW their agreement at any time without penalty

In Kenya, children below the age of 18 years are not allowed to give consent, and informed consent for them to take part in studies is sought from their parents or legal guardians. Children between the age of 13 and 18 must give informed assent, in addition to parental informed consent.

If the parent or guardian is unable to read the informed consent documentation, the consent process must be witnessed by a literate witness unrelated to the research team.

### Materials required for informed consent process

- 1 Frequently Asked Questions sheet
- 1 Information sheet per household
- 2 consent forms for every household head
- 2 consent forms for every individual adult sampled to provide stool sample
- 2 assent forms for every child aged 13-18 sampled to provide a stool sample
- 2 assent forms for every parent/guardian of child (2-18) selected to provide a sample
- 1 clipboard
- 1 pen
- 1 stamp pad

# On arriving at the household

#### While introducing yourself, say:-

"Hello, how are you? I am...... from KEMRI (TUMIKIA Project). KEMRI is a government organization that carries out medical research. TUMIKIA is a project that aims to evaluate the impact of different treatment strategies on the transmission of intestinal worms in Kwale County by comparing school-based and community-based treatment of worm infections. If you are willing, I would like to tell you more about the study and ask if you (and an additional household member) would like to participate."

#### Who is consent sought from?

Consent will be sought from one or more members of the household:

- 1. From the household head (or if not available, the primary caregiver or an adult who is able to consent on behalf of the household). He/she needs to provide consent for you to administer the household questionnaire in the first instance. If he/she refuses to consent for the family's participation, you must move on to the next household.
- 2. From the individual in the household sampled to provide a stool sample. They will consent to provide the stool sample and answer the accompanying individual-level questionnaire. Please remember that it may be the household head selected to provide the sample, in which case only the consent from this single individual will be required

The assumption is that the household head is an adult (over 18 years). However, the sampled household member can be any age individual 2 years or older.

Individual	Form required
Adult over 18 years	Adult informed consent form
Individual over 13 years who is a parent	Adult informed consent form
Child aged below 13 years	Parent/guardian informed consent form
Child aged between 13 and 18 years	Parent/guardian informed consent form AND
	Child informed assent form

Forms per household	Form required	Form required	Form required
Individual sampled	Adult consent	Parent/guardian	Child assent
Adult over 18 years	✓		
Individual over 13 years who is a parent	✓		
Child aged between 13 and 18 years	✓	✓	
Child aged between 2 and 13	✓	✓	$\checkmark$

The eligibility criteria for sampling is that the person is aged 2 years and above and who report usually living in the household. People who do not meet these criteria (e.g. infants under 2 years old or a child who lives at boarding school) will not be included in the random selection, so they will not be selected.

If the first individual selected does not consent to take part in the research, another eligible person will be randomly selected. If the second randomly selected individual does not consent, a third person will be randomly selected. If this third randomly selected person consents to provide a sample, the survey will **not** randomly select another.

If the individual is not present, they should be followed to the shamba/school etc and consented there. If it is not possible to find them at that moment arrange a time to call back for them later.

#### Where and when will consent be taken?

Consent will be sought as the first step having arrived at the selected household. You can carry out the consenting process wherever the household members feel most comfortable, either inside or outside of the house. Consent from the household head is required prior to administering the household questionnaire. However the individual selected to provide the stool sample and answer the individual questionnaire can only be identified after conducting the household census and household questionnaire, and so the second consent will be conducted after this step.

You may find the household head is in the compound, but the sampled individual is in a nearby location (<15 minute walk) and you may have to follow him/her there. In this case the informed consent for individuals in the household will still both be conducted, but in separate locations.

#### How will consent be sought?

The language used while conducting the informed process should be one which the subject can comprehend and understand while speaking. The informed consent team member should be proficient in both written and spoken mode of the language used.

If you are not proficient in the mother tongue language of the area, please utilise your accompanying CHV or village guide to assist in translating from Kiswahili to mother tongue so that you are sure the household members fully comprehend.

The literacy level of the subject will be assessed by asking the subject to read out a sentence in the information sheet or the written informed consent form. If a potential subject/guardian is considered illiterate, the consent documents and any other written study related materials must be read to them in a language best understood to them in the presence of an impartial literate witness (the CHV or village guide accompanying you). Read the information sheet to the participant. Similar to the information provided in the sensitization meetings the key information about the study (both the evaluation activities – **surveys**, and the implementation activities – **deworming**) must be covered. The key points are as follows:

- KEMRI is a government organisation that carries out medical research. We are asking your permission for you/your child/ren to participate in a research study.
- Intestinal worms are one of the most common infections in these communities and can cause issues such stomach pains, tiredness, weight loss, anaemia, and in children – poor physical and mental development.
- The Ministries of Health and Education have been providing a National school-based deworming programme in the last 5 years. This has been highly successful, treating millions of children every year in Kenya and 6.4 million children last year.
- In between the annual treatment children become re-infected from worm eggs in the community deposited by individuals not treated by the school programme. This problem is made worse in poor sanitation conditions.
- We want to investigate whether we can stop transmission of intestinal worm infections by treating all members of the community in addition to the school children.
- Communities will be selected by chance into three treatment groups: some will continue to receive only school-based deworming; some will receive communitywide treatment in addition to school-based deworming once a year; and some will receive both community-wide treatment in addition to school-based deworming twice a year.
- The decision on which communities receive school-based and community-wide treatment was decided by a system based on chance. This means that your community had an equal chance of being included in any of the three programmes.
- 225 different people living in the community are selected (again by chance) to participate in this study every twelve months.
- Depending upon which treatment programme the community is given, all community members or just school-aged children are offered treatment for worms by either a teacher or a community health worker as part of the National Deworming Programme.
- Treatment is being provided by the National Control Programme, and is not being delivered by the research team. They are free to take the treatment and not participate in the research

- If they agree to take part, they will be asked some questions about themselves and their household. Then a member of the household will be randomly selected to provide a stool sample. For the individual selected to give a stool sample, a stool pot will be provided and an explanation will be given. They will be asked to provide a sample there and then, which will be examined for worm eggs in a laboratory.
- **Risks:** The programme the community has been assigned may prove to be less effective than the other groups. This will not be known until after the study is completed. The drug used for this study (albendazole) is known to be very safe in most people.
- **Benefits:** If found infected we will return to provide treatment. The information generated will be very useful for making decisions about worm control in the community and the country as a whole.
- All participation in research is voluntary. The decision will not affect their ability to take part in the government treatment programme. Free to change their mind and withdraw at any time.
- All of the tests that are needed as part of this research will be done locally in Kenya.
- All our research records are stored securely in locked cabinets and password protected computers. The information documented from this work will not be revealed to anybody, and will be used by the study investigators alone for purposes of report writing. No information that can identify any individual will be used in the reports.
- All research at KEMRI is approved by national independent expert committees in Nairobi to make sure the research is conducted properly.

After the information sheet has been read to/by the participant, ask one or two open questions to check understanding (note that it's not a useful check to ask "have you understood?"). Answer all research questions asked by the potential subjects. Use the **Frequently Asked Questions**.

Once an individual has (1) had all their questions answered, (2) their comprehension has been confirmed using one or two open questions, and (3) they have agreed to voluntarily participate in the study, the subject should then sign and date the consent form.

The participant will be informed that even after voluntarily accepting to take part in the study; he/she may withdraw from the study at any time without penalty. If they have refused, this should be immediately accepted, and nothing further (such as signing anything) requested.

# Signing the consent and assent form

# If the consent giver can read there is no need for a witness

- 1. Ask the subject/guardian to print their name, sign and date the informed consent form in duplicate
- 2. Sign and date the informed consent form in duplicate
- 3. One copy of the informed consent form is given to the subject/guardian
- 4. The second copy is kept by the field officer and brought back to the office to be filed
- 5. If consent is declined, this will be recorded through the smartphone.

# If the consent giver cannot read then a witness is needed:

- 1. The independent witness is required to print the name of the subject/guardian on the informed consent forms and sign and date the consent forms in duplicate, confirming that the information has been provided and that they have understood fully.
- 2. The subject/guardian should make their mark i.e. thumb print on the consent form.
- 3. Sign and date the informed consent form in duplicate
- 4. One copy of the informed consent form is given to the subject/guardian
- 5. The second copy is kept by the field officer and brought back to the office to be filed
- 6. If consent is declined, this will be recorded through the smartphone.

# If informed assent is required (children aged 13-18 yrs):

- 1. The same processes apply as above, depending on whether the child is literate or not (sign and date the parent/guardian informed consent form in duplicate)
- 2. In addition, sign and date the informed assent form in duplicate
- 3. One copy of the informed assent form is given to the subject/guardian
- 4. The second copy is kept by the field officer and brought back to the office to be filed
- 5. If assent is declined, this will be recorded through the smartphone.

Subject name and signature	Please tick the relevant bo Yes No please tick Yes No please tick Yes No please tick Subject's signature:	xes below I agree to participate in this resea I agree to stool samples being stor I agree to share non-confidential i community Da	rch ed nformation with the wider research te
	Subject's name:	ease print name)	ne
	I certify that I have follows	ed all the study specific procedures desc	ribed in the SOP for obtaining informed
FO name			
and	Designee investigator's si	giature:	Date
cignaturo			
Signature	Designee/investigator's n	une: (Please print name)	Time
Witness	ness		y explained to and apparently understood oject.
name and	tranesa arghatine.		Date
signature	Witness' name:	Tim	e
Subject thumbprint	(Please print name) *A witness is a person who is independent from the trial or a member of staff who was not involved in gaining the consent. Thumbprint of subject as named above if they cannot write:		

# REMEMBER TO COMPLETE TWO COPIES OF ANY CONSENT/ASSENT FORMS USED AND LEAVE ONE WITH THE SUBJECT AND RETURN ONE TO THE OFFICE.

# Introduction

Each field officer in the team has a smartphone and is required to conduct a **household census** (in which the individual to be sampled is selected), a **household questionnaire**, and an **individual questionnaire** with the individual sampled to provide a stool specimen.

	Starting the questionna	ire through Survey CTO Collect
1	Image: Construction of the second	We will be using the <i>Survey CTO Collect</i> application to collect questionnaire data. You can find the survey from the 'Apps' button at the bottom of the smartphone screen.
2	SurveyCTO Collect v2.02 Secure, high-quality data-collection Fill Blank Form Edit Saved Form (4) Send Finalized Form (1) Get Blank Form	<ul> <li>Once you open the Survey CTO Collect application, an interface will appear which allows you to do the following things:</li> <li>Fill Blank Form to enter new data</li> <li>Edit Saved Form to modify previous entries</li> <li>Send Finalized Form to upload data to server</li> <li>Get Blank Form to load the questionnaire on your phone (you will be told which questionnaire to load and/or if you need to replace with a different version).</li> <li>For now click on Fill Blank Form to start entering new data</li> </ul>
3	Collect > Fill Blank Form  Finished scanning. All forms loaded.  TUMIKIA Endline Household Questionnaire Version: 2017031 031 el_enumerators: 3, el_sampling_list: 3, school_listing: 6, village_reg: 11) Added on Tue, Mar 14, 2017 at 09:07	Click on <i>TUMIKIA endline household questionnaire</i> to select the questionnaire type you are going to carry out. The version number is a 10-digit number made up of the form creation date and the version. The last 2 digits are the version number. Check with your supervisor and/or team leader that you are using the latest version of the questionnaire form



	Entering the household status			
5	HOUSEHOLD CENSUS AND QUESTIONNAIRE HOUSEHOLD IDENTIFICATION	This page will announce the start of the <i>household census and questionnaire</i> . <b>Swipe forward</b> to start with the first question.		
6	Collect > TUMIK.	Select your <b>enumerator name</b> from the provided list. This is also linked to the <b>enumerator ID</b> although this does not show on the smartphone. It is therefore important that you use the correct name. Select from the provided list by clicking on the small circle. The next question will automatically appear. If you have made an error you can swipe backwards and change your selection.		
7	Collect > Tumikia E Sub County: MATUGA MSAMBWENI LUNGA LUNGA KINANGO	Select the <b>Sub County</b> where the household is located from the provided list by clicking on the small circle. The next question will automatically appear. If you have made an error you can swipe backwards and change your selection.		

8	Collect > Tumikia Collect > Tumikia	Select the <i>Location</i> where the household is located from the provided list. The list will only contain locations that are located within the <i>Sub County</i> that you selected in the previous step.
9		Select the <i>Sub Location</i> where the household is located from the provided list. The list will only contain sub locations that are located within the <i>Location</i> that you selected in the previous step.
10	<ul> <li>Collect &gt; Tumikia</li> <li>Cluster:</li> <li>TSEREZANI</li> <li>MLAFYENI</li> <li>BURANI</li> <li>KIZIBE</li> </ul>	<ul> <li>Select the <i>Cluster</i> where the household is located from the provided list.</li> <li>This list will only contain clusters that are located within the <i>Sub Location</i> that you selected before.</li> <li>The household will not know which cluster they are in. That information is on the household sampling list.</li> <li>Pongwe/Kidimu location is officially in Lunga Lunga Subcounty – but for TUMIKIA we include it in Msambweni.</li> <li>If you are surveying in Clusters: Mzizima A or B, Rise and Shine, Majoreni A or B or Wasini Mkwiro. Select Msambweni even if the household say they are in Lunga Lunga or else you won't find the clusters</li> </ul>
11	Collect > Tumikia () Collect > Tumikia () Village: PANGA YA BWENI MWALOLO KIRAZINI PANDE KAJIWENI A GOLASINGO MWATSALAFU	Select the <i>Village</i> where the household is located from the provided list. The list will only contain villages that are located within the <i>Cluster</i> that you selected in the previous step. The village elder may have indicated to you that a particular household is listed in the wrong village. To find this household in the survey form, you will need to select the village as listed on the household listing.



14	Did you intend to select: Household ID: <u>10101001</u> Locator: Mr X Head of household: Mr X O Yes O No	The next screen will then show the ID, locator and head of household names and ask you to confirm that this is the household you meant to select Confirm that you have selected the same household head name as you intended, and that the ID matches.
15	Collect > TUMIKI (Constraints) Collect > TUMIKI (Constraints) Is MSUMBE the correct village for this household? Enter 'yes'' here unless the elder has informed you that the household is listed in the wrong village. (Constraints) (Cons	Confirm whether the household is in the correct village according to your household list, based on what the village guide tells you. If you select [yes], you will continue on to record the household status
16	Collect > TUMIKI () Collect > TUMIKI () Choose the correct village based on what the village elder has told you. If the village that the elder has told you it should be is not listed here, then return to the previous question and select 'yes' and proceed with the questionnaire. Note the change on your sampling list. MSUMBE ZIWANI MTSENGO TULIANI Don't know	If you select [No], i.e. the household is in the incorrect village and should be in another village you will be taken to this screen to select the correct village for the household. Only villages in the cluster will appear rather than a list of all villages in the sublcation or location. Select [don't know] if the village guide is unsure which of the villages the household is in. Mark the change in village on your sampling list.
17	Collect > TUMIKL 🖺 🔌 Are you able to visit household? Yes No	If you are able to visit the household even though it is in a different village, select [Yes], walk to the household and proceed with the survey. If you are not able to visit the household in the different village select [No].
18	Collect > TUMIKI	If you select [No] to being able to visit the household, the survey will then finalise. If another team member is working in the other village and could visit the house, call them and let them know. They should select the same ID, go through the process of selecting the correct village and select that they can visit the household and proceed



21	Collect > TUMIKI (2) Collect > TUMIKI (2) Informed Consent Is there an adult member of the household present? An adult member is anyone 18 years or older or 16 years or older who has a child. Yes No	During the household visit, you will only be able to obtain informed consent from an adult member of the household An adult member is anyone 18 years or older or 16 years or older who has a child You will first record if there is an adult member present or not. This will then determine how you proceed with the questionnaire
22	Informed Consent Can we call back later when an adult member of the household is present? Yes No No Informed Consent This household has not consented to participate. Thank them and end the interview. Finalize the form.	If there is <b>NO adult member</b> of the household present then ask the household members present, if you can return later (i.e. call back) when an adult member is present If the household member says "No," then the household does not consent to participate Select [No] Follow the instructions on the phone and save and finalise the form If the household member says "Yes" then you can return later to ask if they wish to participate in the study. Select [Yes] and the form will then jump to the: <b>Survey Status Screen</b>
23	<ul> <li>Collect &gt; TUMIKI I State</li> <li>Collect &gt; TUMIKI I State</li> <li>Please select the status of this survey.</li> <li>Callback for consent one</li> <li>Callback for consent no</li> <li>Callback for consent no</li> <li>Callback for consent no</li> <li>Callback for consent no</li> </ul>	You will use this screen to record the status of incomplete or completed surveys In this case, a call back visit is necessary for informed consent with an adult member of the household Select [Callback for consent needed]
24	Collect > TUMIK 22:28 Collect > TUMIK Conservation: Exit and save this form now. When you return to the household, use this link to get back to the consent question: Informed Consent If you do not return to the household, return to the survey status question and select: "Call back for consent not made"	After selecting [Callback for consent needed] you will be shown a note You can now save and exit the form <b>OR</b> Click on the green link to return to the question about whether an adult is present or not

25	Collect > TUMIK. Collect > TUMIK. Exit and save this form now. When you return to the household, use this link to get back to the consent question: Exit TUMIKIA Endline Household Questionnaire Save Changes Ignore Changes Cancel	If you wish to save a form in order to return to it during a callback visit, use the "back" button in the bottom, right corner You will be asked if you wish to save changes or ignore changes. Saving changes will save the form, so you can re- open it to edit later Ignoring changes will discard the information that you have entered Cancel will return to the previous screen
26	Image: Color of the second	If you save a form, it can be found in the main menu by opening "Edit Saved Form"
	Collect > Edit Saved Form 20601134-Mr Z Version: 2017030614 Saved on Mon. Mar 06, 2017 at 22:46	Saved forms on your phone will be listed by household ID with the head of household name. Click on the form to re-open it.

27		1
	Gollect > TUMIKIA Endline Ho	Re-opening the form you can press "resume" and go
	HOUSEHOLD CENSUS AND QUESTIONNAIRE	automatically to the page with the link to the
	Are you a field officer or a data officer?	Informed Consent Page from before:
	Fied Interviewer name: Enumerator 1	If you are unable to make the callback visit, then re- open the form and resume as before:
	Sub County: MSAMEVEN	
	Location:	<ol> <li>From the page with link, swipe left to return to the survey status form</li> </ol>
	Sub Location:	2. Now update the status to "Callback for
	Parture Bacture	consent not made"
	Resource Costo Start Costo End	<ol> <li>You will be asked to confirm that a caliback was not made. Select "Yes".</li> </ol>
	ocup. Gonostare Gonostra	4. You can now mark the form as finalised.
	Exit and save this form now. When you return to the household, use this link to get back to the consent question: Informed Consent	
	If you do not return to the household, return to the survey status question and select: "Call back for consent not made"	
28	🛡 🖪 🖬 🛦 🛦 🛛 🕬 📶 12% 🔤 12:36	If an adult member (over 18yrs) is present in the
	😭 Collect > TUMIKI 🖺 🛸	household (at the first visit or your return), select "Yes" for this guestion and then proceed with
	Informed Consent	introduction and consent process
	Is there an adult member of the household present?	On the next server, record whether or not written
	An adult member is anyone 18 years or older or 16 years or older who has a child.	consent was obtained from the adult to continue with the questionnaire
	⊖ Yes	If the adult agrees to participate, select "Yes," and
	◯ No	proceed with the questionnaire.
	Was written consent obtained to continue given by an adult member of the household?	If the adult does not agree to participate, select "No," and you will prompted to finalise the form.
	○ Yes	On the next screen, put a tick by "Mark as finalized"
	○ No	sampling list. You will not revisit this household.
	62	

Entering the household census				
29	Collect > Tumikia (2006) 7:38 AM Collect > Tumikia (2007) 7:38 AM Ask "How many people live in this household?" IMPORTANT: A household is a family sharing the same cooking pot. Household residents include individuals who sleep or share meals here most evenings and consider it their primary residence or who are affected by the decisions of the head of the household.	You will need to enter the number of people in the household. It is important you get this number correct at this stage, as it will determine how many individual members the form will allow you to enter. A household is a family sharing the same cooking pot. An individual(s) that sleeps or shares meals here most evenings and consider it their primary residence and/or an individual who is affected by the decisions of the head of the household. Do not miss any members. This is very important and it is used for the random selection of the individual who should provide the stool sample in the household		
30	Collect > Tumikia (191%) 12:15 Collect > Tumikia (191%) 12:15 Collect > Tumikia (191%) 12:15 Household Member (2) The individual's unique ID: 4020100102 Individual ID Household ID Village ID Cluster ID	The questionnaire will automatically show the <b>unique</b> ID of the first individual. This ID is comprised of: Cluster ID – DIGITS 1 -3, starts with 1-4 depending on subcounty Village ID - DIGITS 1-5, 1 <sup>st</sup> 3 digits are cluster ID Household ID – DIGITS 1-8, 1 <sup>st</sup> 5 digits are village ID Individual ID–DIGITS 1-10, 1 <sup>st</sup> 8 digits are household ID Confirm that the first 8 digits are the same as the household ID on the household list.		
31	HOUSEHOLD MEMBERS (1) What is the name of this member? Please enter three names if available. Mr A	Enter the name of the first household member. Try to record all three names so that they can be identified if needed in the future. The first household member recorded must be the adult who you explained the study to and who gave you consent to continue with the survey. Does not have to be the locator or head of household as on the sampling list		

32		By listing the relationship of each member to the <b>HEAD OF HOUSEHOLD</b> we can get an idea of the make-up of the household
	HOUSEHOLD MEMBERS (1)	Record the relationship of the person whose name
	What is your relationship to Mr A	you have entered to the household head as printed on the form and the survey, even if the head of
	Select relationship between this household member and the head of household named on the sampling list	household has recently changed. If they are the same person as the name at the top of
		the question, select [Same person].
	○ Husband/Wife	A [Related household member] is anyone who is a blood relation to the listed person.
	Related household     Man related household	If they are not related to the household head but are considered part of the household select [Non- related household member]
	Non-related household ()	If they are not related to the household head name
	• Other •	and they are not a household member then select the [other] option.
		For example – the name on the sampling list may be dead now but everyone still refers to it as his house, it may be the wife listed but the husband regards himself head of the household, etc.
33	<ul> <li>Collect &gt; Tumikia</li> <li>Collect &gt; Tumikia</li> <li>Collect &gt; Tumikia</li> <li>Mat is the gender of Mr A</li> <li>Male</li> <li>Female</li> </ul>	It may be obvious from the name which sex the member belongs to, but it is not always the case as some names can refer to either sex
34	HOUSEHOLD MEMBERS (1) How old is Mr A ? O <1 year • O 1 year or older •	Ask how old the listed household member is. If they are less than 1 year old, select [<1 year]. If they are 1 year old or older, select [1 year or older].
	HOUSEHOLD MEMBERS (1) Less than one year Enter months	If less than 1 year, enter the age in months.
	HOUSEHOLD MEMBERS (1) One year or more	If 1 year or older, record the age in years <b>COMPLETED</b>
	Enter years	For example: If a child is 2 years 2 months old, record the age as 2 If a child is 2 years 11 months old, record the age as 2







Enter the household head's phone number.

The first phone number is required, but the alternative is not. This is collected so that the household can be contacted again for any reason, such as treatment.

If the household head does not have a phone but another household member does, you should enter this, as it will assist in contacting the household head. If no member of the family has a phone, ask if a trusted neighbor has a phone and could be contacted instead.

You must enter all 10 digits of the phone number.

If there is no phone at all in the house then enter 9999999999.

Entering the household census						
After entering all of the household members and their relevant information, the household questionnaire will begin. This is to be answered by the household head or the primary caregiver.						
41	Collect > Tumlk I I Sh I HOUSEHOLD QUESTIONNAIRE > Socioeconomic status questions Ask "Do you own or rent this dwelling?" Own Rent Other Don't know/Refused	Record whether the dwelling is owned (the property of) by a member of the household or is rented from another person (e.g. landlord).				
42	Collect > Tumik A Standard Social Social Collect > Tumik A Standard Social Social Collections Social Collections Ask "How many separate sleeping rooms are there in this home?"	Type in the number. Not only refers to bedrooms but <b>any rooms</b> <b>regularly used for sleeping</b> <b>Do not include outside</b> We use this number to estimate the number of people per sleeping room – an indicator of household crowding				



#### Household water questionnaire

The next set of questions aim to determine the cleanliness and availability of the household's drinking water based on the source type, source location, time to collect, household water treatment, and drinking water storage. You will ask the respondents to identify their water source, but it is important that you can differentiate between types of sources in order to help them identify the correct source. The following information will help you identify different water sources and treatment.

Definitions of Water Source Choices				
Piped	Piped into	Water service pipe connected with in-house plumbing to one or more		
Water	dwelling	taps within the dwelling, for example in the kitchen or bathroom.		
	Piped to yard/plot	Water service pipe connected to a tap <b>immediately outside</b> the dwelling in the yard or plot.		
	Piped elsewhere	Water service pipe connected to a tap located somewhere else within		
	within compound	the compound.		
	Piped to neighbor	Water service pipe connected to a tap inside or immediately outside a neighboring dwelling outside the compound		
	Public tap or	Public water point from which community members may collect water.		
	standpipe	A standpipe may also be known as a public fountain or public tap. Public		
	n.250.000	standpipes can have one or more taps and are typically made of		
	н.	brickwork, masonry or concrete.		
Borehole/Tub	e well	a deep note that has been bored or drilled with the purpose of reaching		
		through a large pump which may be human animal wind electric		
w		diesel or solar-powered.		
Dug	Protected	A dug well that is (1) protected from runoff water with walls and a		
Well	dug well	platform raised above ground level and (2) covered so that bird		
the state of the state		droppings and animals cannot fall down the hole. Both conditions must		
		be observed for a dug well to be considered as protected. May have a		
		basic pump or bucket.		
	Unprotected	A dug well which is (1) unprotected from runoff water; (2) unprotected		
	dug well	from bird droppings and animals; or (3) both.		
Water	Protected	A spring protected from runoff, bird droppings, and animals by a		
from	spring	"spring box." Typically built of brick, masonry, or concrete, box		
Spring		surrounds the spring so water flows directly out of the box into a pipe		
	101 13 10 1	without being exposed to outside pollution.		
	Unprotected	A spring that is open to runoff and/or bird droppings or animals.		
	spring	On protected springs typically do not nave a spring box .		
Rainwater		Rain that is collected or harvested from surfaces by roof or ground		
Taulian turali		Water is obtained from a provider who uses a truck to transport water		
		into the community. Typically the provider sells the water to		
		households.		
Mkokoteni/Cart with small		Water is obtained from a provider who transports water into a		
tank		community using a cart and then sells the water. The means for pulling		
1.00.000.0000		the cart may be motorized or non-motorized (e.g., a donkey or		
		handcart).		
Surface water		Water located above ground, including: water pans, rivers, dams, lakes,		
		ponus, sureams, canais, and irrigation channels.		
water klosk		example, ierry cans) to fill with water.		
Bottled water/Sachet water		Water that is bottled and purchased by the household in sealed bottles		
		or sachets. Not water stored in re-used bottles!		



Borehole (L),

Unprotected Dug Well (C),

Protected Dug Well (R)



Unprotected Spring (L)

Protected Spring (C)

Rainwater (R)



Tanker truck (L)



Mkokoteni (C)



Water kiosk (R)



Surface water eg water pan, river, lake, pond



Bottled water sold to the household
	4 1 Marsh 1 1 1 1 1 1	
45	drinking water for members of your	This <u>question</u> to the respondent is to
	household?"	determine the cleanliness of the household's
	Read out options, only enter one answer	drinking water. It is important that you must
	Piped into dwelling	utiliking water. It is important that you must
	O Piped to plot	differentiate between types of sources in
	Piped elsewhere within compound	order to help them identify the correct
	Piped to neighbor	source. Use the descriptions above to best
	O Public tap/Standoipe	identify the household's source or drinking
	O Borehole or Tubewell	water Vou may need to ask prohing
	O Protected Dug Well	water. Tou may need to ask probing
	O Unprotected Dug Well	o questions to clarify exactly what type of
	C Protected Spring	source it is.
	O Unprotected Spring	٠)
	O Rainwater 0	The colored answer should reflect the
	O Tanker Truck	a line selected answer should reflect the
	- Mkokoteni/Cart with small	2 current primary source of water for
	tank	drinking.
	Water pan/River/Dam/Lake/	
	channel	If several sources, probe for which source
	Bottled/Sachet water	provides majority of its drinking water
	Water kiosk	provides indjointy of its drinking water.
	O Other	
	O Don't know/Refused	If sources vary by season, record main source
		at time of interview.
	drinking water for members of y household?" Restore options, only solutione answe O Piped into dwelling O Piped to plot O Piped elsewhere within compound	<ul> <li>different sources so you need to be careful about what is really meant.</li> <li>[In own plot] - refers to the area immediately around the single household, i.e. the private space of the household being interviewed</li> </ul>
	C Public tap/Stap (ppe	<ul> <li>[Elsewhere within compound] – refers to an area containing multiple (usually related) households.</li> <li>[Elsewhere outside plot/compound] – refers to anywhere outside the plot/compound (if compound relevant)</li> </ul>
47		
47		In dwelling = water or toilet facility is located <b>inside</b> the household's <b>dwelling</b>
		For example:
		For example.
		<ul> <li>Inside an apartment</li> </ul>
		<ul> <li>Inside a house</li> </ul>
		<ul> <li>Inside a hadsitter</li> </ul>
		<ul> <li>Inside a bedsitter</li> </ul>



50	Ask "What is the MAIN source of water used by your household for other purposes such as cooking and handwashing?"	This question is only asked if main source of water for <b>drinking</b> was reported to be <b>bottle</b>
	<ul> <li>Piped into dwelling</li> </ul>	water
	O Piped to plot	
	<ul> <li>Piped elsewhere within</li> <li>compound</li> </ul>	Remember: Asking about current primary water source
	<ul> <li>Piped to neighbor</li> </ul>	Not considering seasonality of source
	<ul> <li>Public tap/Standpipe</li> </ul>	NEXX1 2.7
	<ul> <li>Borehole or Tubewell</li> </ul>	
	<ul> <li>Protected Dug Well</li> </ul>	
	<ul> <li>Unprotected Dug Well</li> </ul>	
	O Protected Spring	
	<ul> <li>Unprotected Spring</li> </ul>	
51		Same as the earlier definitions for location
	Ask "Where is that water source located?"  In own plot Elsewhere within compound Elsewhere outside plot/ Compound Don't know	To determine how close to the home Not asked for piped water, tanker truck or cart, bottled
52		Asked if the question before was where is the water source located.
	Ask "How long does it take to go there, get water, and come back?" ENTER MINUTES OR 999 IF DON'T KNOW. INCLUDE TIME WAITING/IN QUEUE. Enter oumber of minutes, Don't house = 999.	Record the time it takes to go, collect, and return with water by whatever means of transportation the person generally uses, whether the person walks or rides a bicycle or motor vehicle
		Enter time in minutes – including queueing / waiting time
		If don't know = 999

## Household sanitation questionnaire

The following questions are asked to obtain a measure of the sanitation level of the household, since toilet facilities are important for disease control and health improvement.

53	HOUSEHOLD QUESTIONNAIRE > Household sanitation questions Ask "Is there a toilet facility that members of your household currently use?" Refers to any toilet facility that members of this household most commonly use while at home. For example the household's latrine, a neighbour or relative's latrine, a nearby mosque latrine, a public toilet nearby in the market, etc.) Yes, there is a toilet facility No, there is not a toilet facility Don't know/Refused	<ul> <li>Toilet facility refers to any type of sanitation.</li> <li>The toilet facility can be located anywhere because you will ask about the location next.</li> <li>You are specifically asking about ACCESS to ANY toilet facility that household members use while <u>at home</u>. For example:</li> <li>From home, they may go to use a neighbour's latrine or a school or market toilet</li> <li>If household members cannot access any toilet facility while at home -&gt; "No, there is not a toilet facility"</li> <li>Swahili option:-"Je, kuna choo ambacho watu wa nyumba hii hutumia?"</li> </ul>
54	HOUSEHOLD QUESTIONNAIRE > Household sanitation questions Ask "Where is this toilet facility located?" In own dwelling In own plot Elsewhere within compound Elsewhere outside plot/ Compound (e.g. neighbour, school, mosque, etc.) Don't know	If they answer [Yes] to the household currently using a toilet facility, we want to know where the toilet facility is located. These are the same location distinctions as with the water source: [In own dwelling] – refers to the dwelling itself, e.g. inside the house or apartment – particularly in urban settings. [In own plot] – refers to the area immediately around the single household, i.e. the private space of the household being interviewed.
	Ask "How long does it usually take to go there?" Enter number of minutes. If more than an hour, record 60. Don't know = '999'	<ul> <li>[Elsewhere within compound] – refers to an area containing multiple households.</li> <li>[Elsewhere outside plot/compound] – refers to anywhere outside the plot/compound (if compound relevant).</li> <li>Depending on the location selected, you will ask the respondent how long it takes them to go to the toilet facility.</li> <li>Unlike the water source question, this question is not asking about the entire time, only the time to go reach the toilet facility.</li> <li>If they don't know, enter 999. The maximum amount of time you can enter is 60 min.</li> </ul>

55	Ask "Is this toilet facility shared with other households?" <ul> <li>Yes</li> <li>No</li> </ul>	If they answer [Yes] to the household currently using a toilet facility, we want to find out how many households, including the respondent's household, use the same facility.
	○ Don't know/Refused ④	Ask if the household shares the toilet facility with other households.
56	Household sanitation questions Ask "Including your own household, how many households share this facility?" Enter number (including this household) if less than 10, or enter '10' if 10 or more. Don't know = '99'.	<ul> <li>If they answer [Yes] to the household currently using a toilet facility, ask this question to determine the number of households that use the toilet facility.</li> <li>Enter the number, but be sure to include the current household. For example, if they say 1 other household uses the facility, then enter "2". The smallest number you will enter is "2".</li> <li>If they say 10 or more households use it, then enter "10". If they don't know, enter "99".</li> </ul>
57	Ask "How long ago was this toilet facility hult2".< 1 year	Ask the respondent, "how long ago this toilet facility was built?" and select the nearest option.
58	HOUSEHOLD QUESTIONNAIRE > Household sanitation questions Ask "Is there a toilet facility that members of your household currently use?" Refers to any toilet facility that members of this household most commonly use while at home. For example the household's latrine, a nearby mosque latrine, a public toilet nearby in the market, etc.) Yes, there is a toilet facility No, there is not a toilet facility Don't know/Refused	If they answer [No] to the household currently using a toilet facility, ask the following set of questions:

59	Ask "Why is there not a toilet facility that your household currently uses?" Refers to the household's previous toilet facility to determine if they had one before and, if ac, why if is no linger in user. If a creates toilet facility existed, probe to determine the type and why it no langer functions. Never had a toilet facility Previous latrine - pit filled Previous latrine - pit collapse Previous vater-borne toilet damaged, broken, or blocked Previous toilet - walls or roof () collapsed Facility incomplete or under Construction Other	<ul> <li>If they answer [No] to the household currently using a toilet facility,</li> <li>Why does the household not currently have access to sanitation?</li> <li>The household may never have had a toilet facility</li> <li>They may have had a previous latrine and it has been damaged or they have begun building one but not been completed yet</li> <li>Choose the most suitable response</li> </ul>
60	Ask "Why is there not a toilet facility that your household currently uses?" Infers to the bousehold's previous toket facility to determine if new had are before and if as which and keeper to be it approved to the tops and why it no imper facebox. Never had a toilet facility Previous latrine - pit filled Previous latrine - pit collapsed Previous toilet - walls or roof (*) collapsed Previous toilet - walls or roof (*) construction Other Don't know/Refused Ask "Do you plan to build a toilet facility?" Yes Don't know/Refused Other	If they answer [No] to the household currently using a toilet facility, To gather information on the <b>planned</b> construction of household sanitation
61	Ask "Why is there not a toilet facility that your household currently uses?"         Meters in the household's period and if so, which are known and the previous toker facility existed, growe in determine the tope and why it no imper facebons.         Never had a toilet facility         Previous latrine - pit filled         Previous latrine - pit collapsetion         Previous vater-borne toilet damaged, broken, or blocked         Previous toilet - walls or roof collapsed         Previous toilet - walls or roof collapsed         Facility incomplete or under construction         Other         Other         Don't know/Refused	If they answer [No] to the household currently using a toilet facility, Question is gathering information on the sustainability of previous toilet facilities They may not remember exactly, so round their response to the nearest year
62	HOUSEHOLD QUESTIONNAIRE > Household sanitation questions Ask "Do you plan to repair, replace, or complete the toilet facility?" Yes No Don't know/Refused	If they answer [No] to the household currently using a toilet facility, To gather information on the <b>planned</b> replacement of household sanitation

63	Ask "Where do members of your family usually go for a long call?"	If they answer [No] to the household currently using a toilet facility,
	<ul> <li>Use bush or field</li> <li>Along the beach</li> <li>Dig hole and bury</li> <li>Other</li> <li>Don't know/Refused</li> </ul>	To gather information on usual household practices around defecation, or a "long call"
64	Ask "How long does it usually take to go to a suitable place for a long call (to defecate)?" Enter number of minutes. If more than an hour, record 60. Don't know = '999' 1 2 3 🛯	If they answer [No] to the household currently using a toilet facility, To gather information on usual household practices around defecation, or a "long call" Record time taken to reach a spot for defecation Not time spent there! If they don't know, enter 999. The maximum amount of time you can enter is 60 min
65	Collect > Tumikia Collect > Tumikia	If there is a child younger than 3 years old   Isted in the household, the child's name   isted in the household or primary   s, what was done   the stools?"   , only enter one   d into toilet/   into drain or   garbage   into drain or   w/Refused
66	Ask "How satisfied are you with the place where your household members usually defecate?" O Dissatisfied Neither dissatisfied or satisfied O Satisfied O Don't know/Refused	<ul> <li>Asked of all households both with and without access to sanitation</li> <li>Image: Solution of the second secon</li></ul>
67	Ask "What k Ask "What k Record all tesp pour household participated in any community event to discuss toilets?" Yes No No Ask "What k Record all tesp Commun Walk thrue for open Don't knew	Ind of event?'       Question about household participation in community sanitation events         inity meeting       If they answer "yes," then follow-up asking what kind of event:         ow       Community meeting         ow       Walk through community (e.g. community-led total sanitation CLTS)

## **Direct observation**

The following questions will be <u>based on your own observations</u> made in or nearby the household not asking the respondent. If necessary, you will ask the respondent's permission to make the observation and for them to take you to the location to conduct the observation.

68	Observe the type of walls the house hasEnter the predominant material observedStone, bricks or cementClay or mudWoodIron sheetsOther	Enter the <b>predominant</b> material observed, meaning the material that <b>most</b> of the walls are made of.
69	Observe the type of flooring the house hasEnter the predominant material observedCement, tiles or linoWooden planksEarth or sandIron sheetsOther	Enter the <b>predominant</b> material observed, meaning the material that <b>most</b> of the floor is made of.
70	Observe the type of roof the house has         Enter the predominant material observed         Tiles         Iron sheets         Grass or thatch         Makuti         Other	Enter the <b>predominant</b> material observed, meaning the material that <b>most</b> of the roof is made of.
71	Ask "Can you please show me where members of your household most often wash their hands?" Observation possible/permitted Observation not possible/ permitted	This observation is meant to be completed while you are still near to the dwelling. Ask the respondent to show you where members of their household most often wash hands. This means general <b>handwashing at any time</b> within or near to the household, i.e. before a meal, before cooking or feeding a child, and after using the toilet facility. They may show you a <b>fixed place</b> like a sink or tap or they may indicate that there is <b>no fixed</b> <b>place</b> for handwashing, but rather the household uses a basin and jug of water or another type of mobile handwashing station. <b>Observations are possible and should be made</b> <b>at these places.</b>

72	Why was the observation not possible?         Respondent reported there was no place to wash hands         Place not located in dwelling/         yard/plot/compound and cannot see         No permission to see         Not observed, other reason	If the respondent cannot show you because there really is no handwashing place, not even a container, then select observation not possible. <b>Record the reason why.</b>
73	Observe the handwashing facility and select the best description. Fixed place (sink, tap, tippytag) fixed bucket with tap) Not fixed place (basin or other container and jug)	If you are able to observe the handwashing facility, they may show you a <b>fixed place</b> like a sink or tap or they may indicate that there is <b>no</b> <b>fixed place</b> for handwashing, but rather the household uses a bowl and jug of water or another type of mobile handwashing station.
74	Observe presence of water at the place for handwashing.         Test that the tap provides water or look for water in designated basin/container.         Record observation.         Water is available         Water is not available         Water is not available         Observe presence of soap, detergent, or other cleansing agent at the place for handwashing (within an arm's reach).         Record observation. Mark all present.         Soap or detergent (bar, liquid, powder, paste)         Ash mud sand	<ul> <li>The availability of water and soap at a designated handwashing location is a good indicator of hygiene practices.</li> <li>If you are able to observe the handwashing facility, observe for the presence of water: <ul> <li>If it is a fixed place with a tap or a bucket with a tap, run the tap to see if there is water available.</li> <li>Otherwise look for water in the designated container.</li> </ul> </li> <li>Record [Yes] or [No].</li> <li>Then observe for the presence of soap, detergent, or other cleansing agent within an arm's reach of the place for handwashing.</li> <li>Select all that apply. Don't ask them to fetch</li> </ul>
	<ul> <li>Ash, mud, sand</li> <li>None</li> </ul>	soap, as this does not reflect the soap's accessibility
75	Ask "Can you please show me the toilet facility most often used by members of your household?" Observation possible/ permitted Observation not possible/ permitted	Ask for the respondent to show you the toilet facility most often used by members of their household. Select [Observation possible] if they are willing or able to take you to their toilet facility. If they cannot or don't want to, then select [Observation not possible].



78		If you select [Water-borne toilet], you will need
	Ask or observe to where water-	to ask or observe to where the toilet flushes:
	borne toilet flushes         ○ Flush to piped sewer system ⊙         ○ Flush to septic tank	<b>Piped sewer system</b> - A system of sewer pipes (also called sewerage) that is designed to collect human excreta (feces and urine) and wastewater and remove them from the household environment.
	<ul> <li>Flush to pit latrine</li> <li>Flush to somewhere else</li> <li>Flush, don't know</li> </ul>	<b>Septic tank</b> - An excreta collection device consisting of a water-tight settling tank normally located underground, away from the house or toilet.
		Flush to pit latrine - A system that flushes excreta to a hole in the ground.
		Flush to somewhere else - A system in which the excreta is deposited in or nearby the household environment in a location other than a sewer, septic tank, or pit, (for example, excreta may be flushed to the street, yard/plot, drainage ditch or other location.)
		Flush, don't know
79	Observe whether the pit opening or toilet has visible faeces around the edge • Yes O No •	You will be able to quickly observe from the doorway of the toilet facility whether the pit opening or toilet/squatting pan have visible faeces or staining around the edge.
		This is an indicator of the toilet facility's cleanliness.
80	Use your phone's torch to look inside pit. Observe whether there are feces visible in the bottom of the pit.	Use the torch app on your cellphone ( <b>wrap the</b> <b>strap around your wrist or loop it in your belt</b> <b>to avoid dropping it!</b> ) to look down inside the latrine's pit. Observe whether there are any feces visible in
	○ Unable to see ④	the bottom of the pit and record [Yes] or [No].
		This is an indicator of whether the toilet facility is in use.
81	Observe for type of anal cleansing materials usedAre any used or unused materials for cleaning oneself observable inside the toilet facility or pit?None presentImage: Comparison of the paperToilet paperImage: Comparison of the paperMaize cobsImage: Comparison of the paperLeavesImage: Comparison of the paperJug for waterImage: Comparison of the paperOtherImage: Comparison of the paper	Observe for the presence of any materials for cleaning oneself after defecation (anal cleansing). Materials can be unused or used and discarded in the toilet facility or pit.

82	Observe whether toilet facility has a door that closes and locks from inside Only a cloth/plastic curtain (*) Only a cloth/plastic curtain (*) Door does not close (*) Door closes but does not lock from inside Door closes and locks from (*)	Enter the toilet facility and close the door behind you. Note whether the toilet facility has a door that closes and if it locks from the inside. This is an indicator of privacy, which can influence toilet facility usage.
83	Observe the material of the slab/ platform/floor of the toilet facility         Enter the predominant material observed         Cement with tile         Cement         Iron sheets         Wooden planks         Logs plastered with mud         Other	Enter the predominant material observed.
84	Observe type of walls of toilet facilityEnter the predominant material observedNo wallsStone, bricks or cementIron sheetsTimberClay or mudNatural screen (reeds/ bamboo/makuti)Other	Enter the predominant material observed.
85	Observe type of roof on toilet facilityEnter the predominant material observedNo roofTiles/CementIron sheetsTimberMakutiGrass or thatchOther	Enter the predominant material observed.

86	Observe for a well worn path leading to the toilet facility from the dwelling Is there a clear and well-worn path to the toilet facility? Yes No Yes	A clear (obstacle free) and well-worn path from the dwelling to the toilet facility is another indicator that the facility is currently and regularly in use. Look for the presence of a <b>clear</b> , <b>unobstructed</b> <b>pathway</b> If toilet facility located inside dwelling record not applicable
		This is an indicator of whether the toilet facility is in use.
87	Observe whether there are facilities for washing hands within 10m (about 2 car lengths) of the toilet facility Same as previously observed Fixed place (sink, tap, tippytag) fixed bucket with tap) Not fixed place (basin or other container and jug) No facilities available	You previously made observations at the designated handwashing place within or near to the dwelling. Now, while you are at the household's toilet facility, observe if there are any handwashing facilities nearby. Only consider handwashing facilities within 10 meters (about 2 car lengths) of the toilet facility. If the previously observed handwashing facility is also near the toilet facility then mark "same as previously observed".
88	Observe presence of water at the place for handwashing.         Test that the tap provides water or look for water in designated basin/container.         Record observation.         Water is available         Water is not available         Water is not available         Observe presence of soap, detergent, or other cleansing agent at the place for handwashing (within an arm's reach).         Record observation. Mark all present.         Soap or detergent (bar, liquid, powder, paste)         Ash, mud, sand         None	As before, if you are able to observe a handwashing facility, observe for the presence of water: If it is a fixed place with a tap or a bucket with a tap, open the tap to see if there is water available. Otherwise look for water in the designated container. Then look for the presence of soap, detergent, or other cleansing agent within an arm's reach of the place for handwashing. Select all that apply.

## Selection of the individual sampled for stool & individual-questionnaire

The selection of the individual to be sampled (to provide a stool sample and to answer the individual-level questionnaire) will not be conducted by the fieldworker, but by a random number sampler programmed in the questionnaire. This will occur directly after the last question in the household observation.

- If the individual selected is an adult (≥16 years for this purpose) you will be automatically given the **adult questionnaire**.
- If the individual selected is a **school-aged child** (5-15 years) you will be automatically given the **school-aged child questionnaire.**
- If the individual selected is a pre-school child (<5 years) you will be automatically given the **pre-school child questionnaire**.

	Entering the individual questionnaire		
89	STOOL SAMPLING There are 4 people eligible for sampling in this household.	SurveyCTO will randomly select one of the individuals that you entered at the beginning of the survey when you recorded the name, age, sex and position of each household member.	
		The number eligible for sampling may be smaller than the total number of household members as we do not survey those under 2 years.	
90	STOOL SAMPLING > sampled1 3230407601,	Conduct the consenting process again, with this <b>new individual</b> . If they consent <b>press [YES]</b> and proceed.	
	Mr D , 72 This is the household member selected to provide the stool sample.	If they refuse to consent, you <b>must press [No</b> ]. The programme will conduct the randomization a second time and select a different individual. If that second selected individual refuses consent, a third individual will be randomly selected.	
	STOOL SAMPLING > sampled1 Has Mr D consented to be asked the questions and provide a stool sample?	No more than <b>three individuals</b> will be selected to give a sample in households with at least three or more eligible members. If all three members do not wish to consent, the survey will end.	
	<ul> <li>○ Yes</li> <li>● No</li> <li>● No</li> </ul>	Do not re-randomize just because the individual is at school or outside of the compound – you should find them!	
		Do not re-randomize just because the individual may not be able to provide a stool sample on the spot – conduct the questionnaire leave the pot and call back for the sample.	
		DO NOT JUST KEEP RE-RANDOMISING UNTIL YOU GET TO AN INDIVIDUAL WHO IS EASIEST TO SURVEY. We will check each FO's data for this daily!	

91	Collect > TUMIKI (Collect > STOOL SAMPLING > sampled2 Ask "Where is Miss E now?" At shamba At school At school At water source Away from home (will bé) gone for more than 2 days) Away from home (gone (Collect) for 2 days or less) At market At workplace Out grazing/herding cattle	If the first selected member (e.g. <sup>Mr D</sup> ) does not consent, the survey will re-randomise another member. If the selected person is not present in compound/dwelling at the time of the visit (based on your entry during the household roster), you will ask where that person is.
92	Collect > TUMIKI B.O7 AM Collect > TUMIKI Collect > STOOL SAMPLING > sampled2 Ask "Please can you take me to Miss E ?" Yes, person available (con go to them now) Yes, can go to them late (pause) Yes, they will return late (pause) No, not available	If they are reported to be somewhere nearby, such the shamba, school, or the water source, you will ask the respondent if they can take you to the selected person. If the person is available and they can take you to them now, select [Yes, person available (can go to them now)] and then record whether they consent to participate after you have located them and conducted the consent process. As before, if they consent, you will proceed with the individual questionnaire. If you say [No, not available] the survey will proceed with the next selected person or finalise if there are no other eligible members.
93	Collect > TUMIKI (a) Collect > TUMIKI (b) Collect > TUMIKI (c) Collect > TUMIKI (c) Collect SAMPLING STOOL SAMPLING You have indicated that the person selected for the sample is not present in the household now but that you can be taken to them later or they may return to the later or they may return to the later. Save and exit your form now. When Miss E is available, open the saved form and click on "resume" to return to this screen. Then use the following link to return to the question and change your answer to indicate that Miss E is available: 2	If you select either [Yes, can go to them later] or [Yes, they will return later] then the survey will be paused. Save and exit the form and you can continue other households, you can come back and restart the survey later.

94	Collect > TUMIKI.	If you wish to save a form in order to return to it during a callback visit, use the "back" button in the bottom, right corner You will be asked if you wish to save changes or ignore changes. Saving changes will save the form, so you can re- open it to edit later Ignoring changes will discard the information that you have entered Cancel will return to the previous screen
95	Co 14 (1988) 222-46 Co 14 (1) SurveyCTO Collect v2.21 Secure, high-quality data-collection Fill Blank Form Edit Saved Form (1) Send Finalized Form 105 22246 Collect > Edit Saved Form 20601134-Mr Z Version: 2017030614 Saved on Mon. Mar 05, 2017 at 22:45	If you save a form, it can be found in the main menu by opening "Edit Saved Form" Saved forms on your phone will be listed by household ID with the head of household name. Click on the form to re-open it.

96	🕴 🖪 🗗 🗛 💦 🔐 🖉 22:49	1
50	Collect > TUMIKIA Endline Ho	Re-opening the form you can press "resume" and go
	HOUSEHOLD CENSUS AND QUESTIONNAIRE	automatically to the page with the link to the stool
	Are you a field officer or a data officer?	sampling Page from before:
	Interviewer name: Enumerator 1	
	Sub County: MEANEMEN	
	Location:	
	Sub Location:	
	Resume	
	Golde De To Start Go To End	
	an 22 an di C. A. 🌫 Jiwa a 202 AM	
	Collect > TUMIKI	
	You have indicated that the person selected for the sample is not present in the household now but that you can be taken to them later or they may return to the household later.	
	Save and exit your form now. When Miss E is available, open the saved form and click on "resume" to return to this screen. Then use the following link to return to the question and change your answer to indicate that Miss E is available: <u>2</u>	
97	🕂 🕰 🗮 🕷 🗛 🖉 📲 12:20 PM	If you are not able to call back for this individual
	👕 Collect > TUMIKI 🖺 🐂	1. From the page with link, swipe left to return
	STOOL SAMPLING > sampled2	to the survey status form
	Ask "Please can you take me	2. Now update the status to [No, not available]
	. Yes person available (car)	household the survey will re-randomise.
	go to them now)	4. Otherwise the survey will end and you can
	<ul> <li>Yes, can go to them late</li> <li>(pause)</li> </ul>	now mark the form as finalised.
	⊖ Yes, they will return late⊛ (pause)	If you are able to now visit the selected individual
	No, not available	1. From the page with link, swipe left to return
	🜵 🖬 🗛 🖉 🗛 🗛 🔒 🖬 🖉 🖉 🗛	to the survey status form
	🙀 Collect > TUMIKI 🔛 🔌	<ol> <li>Now update the status to [Yes person available (can go to them now]</li> </ol>
	STOOL SAMPLING > sampled2	4. You will be asked to confirm that individual
	Has Miss E consented to be	has consented to answer the questions and
	asked the questions and	provide a stool sample.
	Ves	5. You will proceed with the individual
	No O	questionnaire

98	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE QUESTIONNAIRE FOR SAMPLED ADULTS	Depending on the age of the individual, an appropriate survey will begin: under-five (U5), school-age (SAC), or adult (A). In this example, the individual selected was an adult and so the adult questionnaire will be conducted. In some places, the questions will differ slightly when interviewing children under 5 years of age or school children.
99	Adults or schoolchildren       Under-fives         STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE       STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE         Ask "Did you receive treatment for worms in the last six months from TUMIKIA?"       STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE         Yes       Image: Comparison of the last six months from TUMIKIA?"       Image: Comparison of the last six months from TUMIKIA?"         Yes       Image: Comparison of the last six months from TUMIKIA?"       Image: Comparison of the last six months from TUMIKIA?"         Don't know/Refused       Image: Comparison of the last six months from TUMIKIA?"       Image: Comparison of the last six months from TUMIKIA?"         Stool Sampling > Individual       Image: Comparison of the last six months from TUMIKIA?"       Image: Comparison of the last six months from TUMIKIA?"         Stool Sampling > Individual       Image: Comparison of the last six months from TUMIKIA?"       Image: Comparison of the last six months from TUMIKIA?"         Stool Sampling > Individual       Image: Comparison of the last six months from TUMIKIA?"       Image: Comparison of the last six months from TUMIKIA?"         Stool Sampling > Individual       Image: Comparison of the last six months from TUMIKIA?"       Image: Comparison of the last six months from TUMIKIA?"         Stool Sampling > Individual       Image: Comparison of the last six months from TUMIKIA?"       Image: Comparison of the last six months from TUMIKIA?"         Image: Comparison of the last six months from TUMIK	Ask about deworming in all questionnaires. Please try and really tease out whether the deworming they mention is the TUMIKIA deworming or not TUMIKIA was given en masse the last week of May 2016 and then again in last week of October 2016 (Given by CHVs – with black shoulder bags with the orange TUMIKIA logo) If they answer yes they will be asked about the number of tablets they received.
100	Adults or schoolchildren       Under-fives         Ask "Did you receive treatment for matende in the last six months from the lymphatic filariasis (LF) program or TUMIKIA?"       STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE         Yes       >         No       >         Don't know/Refused       >         STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE       >         Ask "Did Miss F receive treatment for matende in the last six months from the lymphatic filariasis (LF) program or TUMIKIA?"       >         Yes       >       >         Don't know/Refused       >       >         STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE       >         Ask "How many tablets did you receive?"          Enter number: 99 = don't know / refused       >	Ask if the individual received treatment for matende in the last 6 months. This was given in some areas in last week of October 2016 If you enter [Yes] regarding treatment from TUMIKIA, ask how many tables they received and enter the number of tablets the individual reports having received.
101	Adults or schoolchildren       Under-fives         Ask "Apart from TUMIKIA, have you received other treatment for worms in the last year?"       Ask "Apart from TUMIKIA, has Miss F received treatment for worms in the last year?"         Yes       >         No       >         Don't know/Refused       >	Ask about any other <b>treatment for worms</b> apart from TUMIKIA. This can be through any organization, programme or at a health centre/hospital on an individual basis.

102	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE Ask "Where did your child receive this treatment" Read out options, enter only one answer O School	1	If they answer [Yes] to other treatment ask where they received this treatment. If they report having received multiple treatments, record the <b>most recent</b> .
	Health centre     GuestionNAIRE     Community programme     Purchased at shop     Other     Don't know/Refused     Other	NDIVIDUAL ne name of /programme atment?"	Ask you select community programme it will ask you to specify the name of the programme.
	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE       STOOL SAMPLING > QUESTIONNAIRE         Specify the other place you received this treatment       Ask "How many you receive?"         Enter number: 99 = o refused	nDIVIDUAL ablets did n't know /	Finally it will ask you how many tablets were received for all answers.
103	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE WASH INFORMATION FROM SAMPLED PERSON "Now I would like to ask you a few questions about your access to and use of water, sanitation and hygiene at home and when you're out and about."		The following section will ask questions relating to the individuals behaviors regarding water, sanitation and hygiene. Unlike previous questions, these do not require any direct observation.
104	Adults & schoolchildren         STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE         Ask "At home, where do you usually go to take a long call (defecation)?"         Read out options, enter only one answer:         In my household toilet (facility (latrine) Around/outside the bush)         Outside (e.g. Toilet facility, usual)         Outside (e.g. Toilet facility, usual)         Outside (e.g. Toilet facility, usual)	NDIVIDUAL e out and ig at the o you usually call er only one ility (latrine) in the bush (latrine) at ce intil home Refused	For the adult and schoolchildren questionnaires, ask where the individual usually takes a long call when at home or when out and about. If the description does not fully match, choose the <b>most appropriate</b> description.
105	Schoolchildren STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE Ask "At school, where do you usually go to take a long call (defecation)?" Read out options, enter only one answer Toilet facility (latrine) at school Toilet facility (latrine) ntar the school Around/outside the school (e.g. in the bush) Wait/hold it until school (is over Don't know/Refused		If you are interviewing a school-aged child, a question about their behavior at school will also be asked. If the description does not fully match, choose the <b>most appropriate</b> description.

106	Adults & schoolchildren Schoolchildren only	
	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE       STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE         Ask "Last time you took a long call at home, did you use the toilet facility (latrine)?"       Ask "Last time you took a long call at school, did you use the school's toilet facility (latrine)?"         Yes       Image: Comparison of the school's toilet facility (latrine)?"         No       Image: Comparison of the school's toilet facility (latrine)?"         Don't know/Refused       Image: Comparison of the school's toilet facility (latrine)?"	Record if the individual reports using a toilet facility the last time they passed a stool while at home and at school (for schoolchildren).
107	Ask "What is the main reason you did not use the toilet facility that time?" No toilet facility available Too young/small Don't like toilet facility Disabled/Frail/Bedriddet Prohibited from using Too far/Inconvenient Afraid/Scared to use Prefer to go outside Other Don't know/Refused	If they answer [No] ask the main reason for why they did not use the toilet facility. Record the response closest to what they report.
108	Ask "The last time Miss F passed stools, where did this happen?"       Ask "The last time Miss F passed stools, what was done to dispose of the stools?"         Child used toilet facility (*)       Put/rinsed into toilet/ (*)         Child used nappy (*)       Put/rinsed into toilet/ (*)         On paper       Put/rinsed into drain or (*)         On ground in compound (*)       Put/rinsed into drain or (*)         On ground outside (*)       Buried         On on't know/Refused (*)       Don't know/Refused (*)	For any individual under 5yrs the survey will ask where the child last passed stools and, if this was not in a toilet, what was done to dispose of the stools.
109	Adults & schoolchildren       Schoolchildren only         STOOL SAMPLING > INDIVIDUAL         QUESTIONNAIRE         Ask "Is there a place (e.g.         container, basin, sink) at         home for you to wash your         hands after you take a long         call?"         Yes         No         Don't know/Refused         STOOL SAMPLING > INDIVIDUAL         QUESTIONNAIRE         Ask "Is there a place (e.g.         container, basin, sink) at home         for you to wash your         Ask "State a place         Yes         OUESTIONNAIRE         Ask "Is there a place (e.g.         container, basin, sink) at home         for you to wash your hands         after disposing of Miss F's         stool?"         Yes         No         Yes         No <t< td=""><td>Record if the individual reports a place at home for washing hands <b>after defecation</b>. If you are interviewing a school-aged child, an additional question about their behavior and facilities at school will also be asked. If you are interviewing a caregiver about the child an additional question about handwashing after stool disposal will be asked.</td></t<>	Record if the individual reports a place at home for washing hands <b>after defecation</b> . If you are interviewing a school-aged child, an additional question about their behavior and facilities at school will also be asked. If you are interviewing a caregiver about the child an additional question about handwashing after stool disposal will be asked.

110	STOOL SAMPLING > INDIVIDUAL OUESTIONNAIBE	STOOL SAMPLING > INDIVIDUAL	
	Ask "Is water available for washing your hands at this	Ask "Is handwashing soap	If you answer [Yes] to the questions above,
	place always, sometimes or	available at this place always, sometimes or never?"	water and then soap being available at
	○ Always (▶)	○ Always 🕑	that place for washing hands.
	○ Sometimes 🕑	○ Sometimes	
	○ Never	○ Never	
111	Adults & schoolchildren	Schoolchildren only	Ask and record whether the individual
	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE	reports soon and water being used to
	Ask "Did you wash your hands	Ask "Did you wash your hands	wash their hands last time they passed a
	call at home?"	with soap and water at this place the last time you took a	stool at home/school or of the child's stool.
	© No 🕑	long call at school?"	
	○ Yes, water only ④	○ Yes 🕑	
	Yes, soap and water		
	O Don't know/Relused	O Don't know/Refused	
		7	
	QUESTIONNAIRE		
	Ask "Did you wash your hands the last time you disposed of		
	Miss F's stool when at home?"		
	○ No ①		
	O Yes, water only		
	• Yes, soap and water •		
112	Adults & sch	noolchildren	Ask and uppend whether the individual
	STOOL SAMPLING >	INDIVIDUAL	reports usually washing their hands with
	Ack "Do you we	ah yaur handa	soap and water before eating a meal.
	before eating a	lish your hands	
	sometimes, nev	ver?"	
	Always	$\odot$	
	O Sometimes	$\odot$	
	Never	$\odot$	
113	Adults & sch	noolchildren	
	STOOL SAMPLING > QUESTIONNAIRE	INDIVIDUAL	Ask and record whether the individual
	Ask "Before you	i sat down for	washed their hands before they sat down
	your last meal d	lid you wash	to eat their last meal.
	your hands?"		
	○ No ④		
	○ Yes, water only		
	○ Yes, soap a	nd water 💿	
	○ Don't know/Refused 🛞		

114	Under-fives         STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE         Ask "Does your child feed themselves?"            • Yes         • No         • Don't know/Refused         • Don't know/Refused         • Don't know/Refused         • Stool SAMPLING > INDIVIDUAL QUESTIONNAIRE            Stool SAMPLING > INDIVIDUAL QUESTIONNAIRE           Stool SAMPLING > INDIVIDUAL QUESTIONNAIRE             Stool SAMPLING > INDIVIDUAL QUESTIONNAIRE           Stool SAMPLING > INDIVIDUAL QUESTIONNAIRE             Stool SAMPLING > INDIVIDUAL QUESTIONNAIRE           Stool SAMPLING > INDIVIDUAL QUESTIONNAIRE             Ask "Do they wash their hands before they feed?"           Stool SAMPLING > INDIVIDUAL QUESTIONNAIRE             Always           • Always           • Always             Sometimes           • Never           • Never	If you are interviewing for a child under 5 years of age, the following question may be asked instead. If you answer [Yes], record whether the child is ever observed to wash their hands <b>before they begin to eat.</b> If you answer [No] record instead whether the individual who <b>usually</b> feeds them is every observed to wash their hands <b>before</b> <b>beginning feeding.</b>
115	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIREObserve: What sort of shoes is participant wearing?O Closed shoes>O Sandals>O No shoes>	Asked in all three questionnaires Record the type of footwear <b>currently</b> being worm by the individual. Do <b>not</b> record whether the individual reports <i>usually</i> wears shoes
116	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE Do you do any daily work that exposes you to soil? For all members 5 years or older. Read through the options and select all applicable. NO Work in a shamba Work in a plantation Mining work Construction work Other Don't know/Refused	Asked for adults and school-aged children (>5 years) Read through the options and select ALL applicable responses. We are not asking about "play" here because we assume that all children play in the soil but some, and adults, may have more soil exposure through their daily work.
11/	STOOL SAMPLING > INDIVIDUAL QUESTIONNAIRE Ask "Do you ever eat soil or clay?" Yes No Don't know/Refused	Asked in all three questionnaires Record whether the individual reports ever having eaten clay or soil

	Collecting the stool sample			
You w necess	ill now proceed with the collection of the ary.	stool sample or arrangement of a call-back visit if		
118	STOOL SAMPLING Ask Mr G , "Are you able to provide a stool sample at this time?" <ul> <li>Yes</li> <li>No</li> </ul>	Ask the selected individual whether they are willing and able to provide a stool sample now Either "Yes" or "No" is fine. Often community members take a long call early in the morning or after dark. So they may not be able to provide it there and then. You can leave the pot and come back later in the day or the following morning.		
119	STOOL SAMPLING > sampled1 Household ID Mr D 72 This is the household member selected to provide the stool sample.	Each FO in the team will get a string of 30 stickers for that cluster. Eg 1-29, 30-59, 60-89 etc. For each household you visit you can use the next sticker. The stickers are not assigned to individual villages. <u>They are only cluster specific</u> Make sure that the <b>cluster code</b> (the first three digits) match the cluster ID on the list of household heads. The last three digits do not need to match digits 4-6 on the household or individual ID. The phone will link this 6-digit ID with the 10-digit individual ID.		

If you	are able to collect a stool sample from	m the individual at that time:
120	STOOL SAMPLING	If you select [Yes], meaning that you can collect a sample at that time:
	The household member selected is able to provide a stool sample right now.	<ol> <li>Confirm the cluster code (the first three digits on the sticker) matches the cluster code number at the top of the list of household heads.</li> </ol>
	Check that the cluster on the sticker is correct and use it to label the pot. Provide the	<ol> <li>Label the white lid of the polypot using one of the printed barcode stickers you have been given.</li> </ol>
	person with the labeled pot and instructions for how to prepare the sample.	LABEL THE POT BEFORE GIVING IT TO THE INDIVIDUAL. DO NOT APPLY THE STICKER TO THE SIDE OR BOTTOM OF THE POT.

121	STOOL SAMPLING > Receive sample	Once the sticker is on the top of the pot: SCAN IT
	Scan the sticker on the sample pot.	This step is critical, as it links the sample number to the household questionnaire.
	Get Barcode	Click on the grey button.
122	Barcode Scanner Canner Internet Interne	<ul> <li>After clicking the grey button, the phone's display will appear as shown on the left.</li> <li>The grey box will display the image captured by the phone's camera on the front side.</li> <li>Make sure you are not covering the camera and hold the phone flat about 6 to 8 cm above the sticker, so the sticker is centered in the image on the screen, in the area within the red circle.</li> <li>You will see yellow dots appear on the screen as it tries to read the sticker. The phone will beep (if the sound is on) and the dots will turn green when it has successfully read the sticker.</li> <li>If the sticker is well lit (stand outside, not in the shade), the barcode may scan faster.</li> </ul>
123	STOOL SAMPLING > Receive sample Scan the sticker on the	If the barcode has been read successfully, you will automatically return to the previous screen where the captured barcode is also displayed
	sample pot.	the captured barcode is also displayed.
	Replace Barcode	
	101001	
124	Manually enter the FIRST three numbers on the sticker if you are unable to scan the sticker. 101	As a backup and only if you cannot scan the barcode, you can enter the sticker ID manually:
	Manually enter the last three numbers on the sticker if you are unable to scan the sticker.	First enter the first three numbers that indicate the current cluster, for example 101.
		Then enter the last three numbers that indicate the number of the sample, for example 001.

125	The barcode you entered is 101001	The Barcode you entered will be displayed. <b>CONFIRM</b> that it is the one you entered by selecting "YES".
		If the CODE is not what you entered, select <b>"NO"</b> .
		You will <b>not</b> be able to proceed until you correct the code. Swipe backwards, and re-enter the number to make the correction.
126	You have completed the household questionnaire and collected the stool sample.	After you have entered and confirmed the barcode, you will proceed to this screen, indicating that you have <b>completed the household</b>
	Please finalise the survey.	questionnaire and collected the stool sample.
	Callback for consent	Next, update the survey status to "Completed – sample provided"
	Callback for sample 🕑 needed	
	○ Completed - no sample provided	
	<ul> <li>Completed - sample</li> <li>provided</li> </ul>	
	Callback for consent not made	
	⊖ Callback for sample not made	
	O Other	
	You have indicated that the questionnaire was completed and a sample was collected. Is this correct? Yes No	
	You are at the end of TUMIKIA Endline Household Questionnaire.	After confirming this selection you may save and finalise the form.
	Mark form as finalized	
	Save Form and Exit	

If you	If you have to arrange to call-back to collect a stool sample from the individual:			
127		If you select [No], meaning that the individual		
	STOOL SAMPLING	cannot provide a stool sample at this time and a		
	The household member selected is not able to provide	call-back visit to collect the sample is necessary, you will see this page with instructions:		
	a stool sample right now. Check that the cluster on the sticker is correct and stick it on the white lid of the pot.	<ol> <li>Confirm the cluster code (the first three digits on the sticker) matches the cluster code number at the top of the list of household heads.</li> <li>Label the white lid of the polypot using one of the printed barcode stickers you have been given</li> </ol>		
	ON THE NEXT SCREEN, SCAN THE STICKER ON THE POT BEFORE LEAVING THE POT WITH THE SELECTED HOUSEHOLD MEMBER.	Because you will collect the sample at a call-back visit, <b>later the same day or the next day</b> , you must also scan the barcode sticker before giving the pot to the individual.		
		LABEL THE POT AND SCAN THE STICKER BEFORE GIVING IT TO THE INDIVIDUAL.		
128	STOOL SAMPLING > Leave pot for call- back sample Scan the sticker on the sample pot before leaving it to	Before giving the pot to the individual to collect the sample at a later call-back visit, you must scan the sticker.		
	collect at a call-back visit. Get Barcode	<b>Click on the grey button.</b> The phone will then take you through the same steps to scan the barcode as described above.		
129	STOOL SAMPLING > Leave pot for call- back sample	As before, if the barcode has been read successfully, you will automatically return to the		
	Scan the sticker on the sample pot before leaving it to collect at a call-back visit. Replace Barcode	previous screen where the captured barcode is also displayed. Also as before, if you are unable to scan the		
		barcode you can swipe left, to enter the barcode manually. If it cannot be scanned manually enter		
	101001	the barcode as above.		

130	STOOL SAMPLING On the next screen, update the status of the form. Select "Callback for sample needed" then save the form without finalising. Callback for consent needed Callback for sample needed Completed - no sample provided Completed - sample provided Callback for consent not made Callback for sample not	After scanning or entering the barcode on the stool pot being left, the phone will display a message prompting to <b>update the survey status</b> Next, update the survey status to " <b>Callback for</b> <b>sample needed</b> " This question will allow you to stop and save the survey and then re-open the form to enter the rest of the information once you have returned for the call-back visit. Remember to leave them strict instructions to deposit the stool <b>THE NEXT MORNING</b> if you are calling back for it the next day.
131	Collect > TUMIKI 20:55 Collect > TUMIKI Collect > TUMIKI	After selecting <b>"Callback for sample needed"</b> you will be shown a note After making this selection, the phone will display a message prompting you to save and exit the form – <b>WITHOUT FINALIZING</b>

132	<ul> <li>Collect &gt; TUMIK.</li> <li>Collect &gt; TUMIK.</li> <li>Exit and save this form now.</li> <li>When you return to the household, use this link to get back to the consent duestion.</li> <li>Exit TUMIKIA Endline Household Questionnaire</li> <li>Save Changes</li> <li>Ignore Changes</li> <li>Cancel</li> </ul>	If you wish to save a form in order to return to it during a callback visit, use the "back" button in the bottom, right corner You will be asked if you wish to save change or ignore changes. Saving changes will save the form, so you can re- open it to edit later Ignoring changes will discard the information that you have entered Cancel will return to the previous screen
133	Image: Color of the second	If you save a form, it can be found in the main menu by opening "Edit Saved Form"

134	<ul> <li></li></ul>	Saved forms on your phone will be listed by
	20601134-Mr Z	Click on the form to re-open it.
	Version: 2017030614 Saved on Mon, Mar 06, 2017 at 22:46	
		Re-opening the form you can press <b>"Resume"</b> and go automatically to the page with the link to the Callback visit
	HOUSEHOLD CENSUS AND QUESTIONNAIRE	
	Are you a field officer or a data officer?	
	Interviewer name: Enumerator 1	
	Sub County: MSAMBWENI	
	Location: KINONDO	
	Sub Location: KINONDO	
	Resume	
	Go Up Go To Start Go To End	
135	♥         ▶         ▲         ▲         ▲         ▲         20:55             Collect > TUMIKI	Clicking on the link will take you to the section for entering callback visit information.
	Exit and save this form now. When you return for the sample, use this link to get back to the callback section: <u>Callback visit</u>	
	If you do not return to the household for a sample, return to the survey status question and select: "Call back for sample not made"	

136	STOOL SAMPLING > Callback visit	
	Received sample at call-back visit?         Yes         No         STOOL SAMPLING > Callback visit         Please explain why you have not received a sample at the call-back visit.	<ul> <li>For your call-back visit, after selecting "Resume" and then following the link, you will be asked if you have received a sample at the call-back visit.</li> <li>If a sample is received at call-back, select "yes."</li> <li>If a sample is not provided when you go back to the household, select "no." You will then be asked to explain why you have not received a sample.</li> <li>You will then be instructed to finalise the survey. Select "Completed – no sample provided"</li> </ul>
137	Collect > TUMIKI (4:47 PM) Collect > TUMIKI (Collect > Callback visit) Ask "Did MiSS E provide this stool sample?" This question is to confirm at the call- back whether the person randomly selected to provide a stool sample actually provided the sample. Probe the family members to be sure about who provided the sample. Yes No	If a sample is <b>received</b> at call-back This question is to confirm at the call-back whether the person first randomly selected to provide a stool sample <u>actually provided</u> the sample. Probe the family members to be sure about who provided the sample received at the callback visit. Make them feel like you will not be angry/upset if it was a different person we just need to know so we can correct the data.
138	Collect > TUMIKI (Add PM) Collect > TUMIKI (Collect > TUMIKI) Ask "When was the stool passed?" This question is to confirm when the stool in the sample was excreted. Two days ago Yesterday morning Yesterday afternoon Yesterday evening Before dawn today (4-6) Early this morning (6-9) Late this morning (9-12) Early this afternoon (12-14) Late this afternoon (14-16)	Whether the initially selected person gave the stool sample or not, you will ask when the stool was deposited. Again make them feel like you will not be angry/upset if it was earlier than this morning but we just need to know so we can record it.



142	Callback for consent needed Callback for sample needed Completed - no sample provided Completed - sample provided Callback for consent not	Next, update the survey status to "Completed – sample provided" After confirming this selection you may save and finalise the form. REMEMBER: If a sample is <b>not provided</b> when you go back to the household, select "no." You will then be asked to explain why you have not received a sample. You will then be instructed to
	Callback for sample not made	provided"
143	Callback for consent	<ul> <li>If you are unable to make the callback visit for the sample, then re-open the form and resume as before:</li> <li>1. From the page with link, swipe left to return to the survey status form</li> <li>2. Now update the status to "Callback for sample not made"</li> <li>3. You will be asked to confirm that a callback was not made. Select "Yes".</li> <li>4. You can now mark the form as finalised</li> </ul>
	<ul> <li>Callback for sample</li> <li>Callback for sample</li> <li>Completed - no sample</li> <li>provided</li> <li>Completed - sample</li> <li>provided</li> <li>Callback for consent not</li> <li>made</li> <li>Callback for sample not</li> <li>made</li> <li>Other</li> </ul>	



### Introduction

The overall team target is 225 individual samples across three days, which is 25 samples per individual over the three days in a cluster. There is no specific daily target or per person target as this will depend on the villages each goes to, callbacks left and the division of labour in the team. This is a team effort and it is a collective goal.

Stool collection is a sensitive activity and must be handled delicately. This SOP describes the processes to be followed in collecting the stool samples.

1 box:		Gloves	$\checkmark$
1 pc		Black carrier bag	$\checkmark$
1 pc	x:	Marker pen	$\checkmark$
13	Small black bags:	Toilet paper (3-4 squares)	$\checkmark$
	204	Newspaper (1 pc 7cm x 7cm)	$\checkmark$
		Wooden spatula	$\checkmark$
		1 stool 'polypot' with barcode	$\checkmark$

### Materials required for stool collection process

### Preparation of the stool collection materials

On returning from the field, prepare the materials for the next day. Prepare **13** packets to be handed to the study participants from which a stool sample is required. In the morning, these pre-prepared packs will be carried to the field in your backpack.

- Cut the newspaper into pieces of approx 7x7cm using a ruler or by folding and tearing
- Cut the toilet paper, counting 3-4 squares per section
- Break the wooden spatula into 2
- Put the packets together

# Each of the small black bags should contain a section of toilet paper, 3 pieces of newspaper, a piece of wooden spatula and a stool polypot

### Instructions to give the sampled individual on the stool collection

Following selection of the individual and consent in the field, request the participant to provide a stool sample.

Apply the next new barcode sticker to the **white top** of the polypot. Check the **name** and **code of the cluster** against the **name and first three digits printed on the sticker**.

If the pot is to be left to be collected with a sample at a <u>call-back visit</u>, you will need to scan the barcode BEFORE PROVIDING THE EMPTY POT TO THE INDIVIDUAL.

Explain the steps required in providing this sample. Start by explaining what is in the small bag

- Newspaper will be use to 'receive' or 'collect' the stool
- The wooden spatula will be used to transfer a portion of the stool to the polypot
- Toilet paper- for wiping themselves
- The polypot will be used to carry the stool sample back to the lab

Give the participant the following instructions:

- Ask the participant to **go to the place where they usually take a long-call**. This should preferably be a toilet facility if possible. If not, they should go wherever they would usually go.
- Ask them to place the **newspaper on the floor** of the toilet or ground, they should **deposit the stool on the newspaper**.
- Using the **spatula** they should collect enough stool to **fill 1/2 to 2/3 of the pot**. This should be placed in the polypot and then the **lid put on**. The pot should be no more than half filled and properly covered.
- The toilet paper is for wiping themselves.
- Once the collection is complete they should **dispose of the newspaper, stick and tissue in the toilet facility** if available.
- The collected stool in the pot should be **placed back into the black bag** and handed to you.

A glove can be used when receiving the stool from the participant, although there should be no direct contact with the stool as it will be in a pot within a bag. The polypot containing the stool should be put in a sealable plastic carrier bag. NB *fresh stool is warm and the container is a bit moist.* 

Immediately scan the barcode on the pot, as indicated in the survey, when you receive a pot with stool in it either during a visit or <u>call-back</u>.

# DO NOT PUT THE POT WITH STOOL IN YOUR BAG UNTIL THE BARCODE HAS BEEN SCANNED!

#### Call backs

If the individual is unable to provide the sample at the time of the survey, the materials can be provided and the instructions given. The individual may then produce the sample to be collected **LATER IN THE DAY OR ON THE FOLLOWING MORNING.** If the sample is to be collected the next day, they **must deposit it when they take their early morning call**. It must not be deposited the previous evening because the hookworm eggs will have hatched before the stool reaches the lab and the eggs cannot be seen and counted. Stool must be delivered to the lab within 8 hours of being depositied in the pot by the individual. Call backs can be set up on day 1 to collect on day 2 and on day 2 to collect on day 3 (or on any of the days if it can be collected before you leave the community). They can also be collected later that same day. The effectiveness of callbacks will depend on the community.

#### Delivering the stool to the lab

When you arrive at the lab, one of the laboratory staff will meet you to collect each field officers samples and log each sample individually. This is to confirm that all samples you have collected that day are accounted for at the lab. You will each stand with your lab team and "log" your samples with them, so there is a record of how many samples each field officer has dropped at the lab.

### **Key Messages**

1 0	
If they cannot give you the sample at the time of the interview arrange a call-back for later the same day or the following day.	It is possible to <b>leave the pot and arrange a</b> <b>call-back</b> to collect the stool sample for that individual later in the day or the next morning.
	However, hookworm eggs need to be detected by the lab technicians within eight hours of excretion, therefore the message must be clear. If you plan to call-back the next day for the sample, they should only deposit stool the next morning for our collection, and it will be collected on that morning.
	If they deposit the stool on the evening of day 1 and it is collected the morning of day 2, it may be 24 hours old by the time it gets to the lab. By that time the hookworm may have hatched and the sample cannot be used.
The stool should be fresh stool and not stool from another day (for those who do not have toilets and can identify a previously deposited stool)	We need to ensure the stool collected is from the individual who answered the questions and that the sample provided is only a few hours old.
The stool pot MUST be labeled in the household and not after leaving or in the lab at the end of the day	As soon as the individual to be sampled has consented and indicated that they can provide a sample at that time, label the pot with a barcode before giving it to the individual. If you are leaving the pot to collect at a later call-back, scan it into the survey.
With regard to dropping the stool in the lab, two drops could/should be made – one in the morning with the call-backs collected and then one in the afternoon with the new samples.	In order to ensure that the lab technicians do not have lots of work to do in the evening and that the stools collected early in the morning are not spoiled before reaching the lab, <b>two lab drops should be made.</b>
---	---
	The first drop should contain any call-backs from that morning and the first new households visited, and the second drop should contain the households visited later in the day. The driver should take the first stool drop alone and all team members should be present in the car for the second drop. The logistics of this will depend on the cluster.
Insist they give you their own stool, not somebody else's	We need to ensure the stool collected is from the individual who answered the questions in the individual questionnaire so we can link the information to the sample. Ask the selected person that they provide the sample. If you have returned to collect a sample at a call-back visit, you will also ask them to confirm if they provided the stool sample. If not, then you will identify the household member who provided the sample and conduct a new survey.

Make sure you put the participant at ease; there should be no shame or embarrassment. Stool is the by-product of the digestive system, we all produce stool regularly. Please ensure to maintain the privacy and dignity of the participant.

# Section 7 - Checking in at the Office

# Introduction

When arriving back at the office at the end of the day, it is very important to submit items taken to the field and this section of the SOP documents the key personnel to check in with on arrival back. You should, when at all possible, return to the office with the team, but if it is late and the vehicle is passing your home, you can alight as long as:

- You have contacted the office to inform them
- You have handed your phone, and consent forms (and village elder and piki piki forms on Friday) to the team leader or the member responsible for the separate items

# Individual items

## Consent forms:

- In the car staple the consent forms by household, ensuring that for each household there is a consent form from the household head and the sampled individuals
- Ensure you have filled the 10-digit unique ID and the sample ID in the boxes at the top
- Ensure to also write your enumerator ID on top of the consent form

• Submit these to the team leader to compile the consent forms for the team Team leader/ Member responsible to submit the consent forms for all team members to one of the office team members.

#### Village elder allowance forms:

- This is submitted at the end of the week.
- Make sure the form has been fully filled in by the village elders and that you have signed and written your enumerator ID on the form
- Submit these to the team leader to compile

Team leader/Member responsible to submit the village elder forms for all team members to the office team for filing every week. Failure to return this will result in deduction of the 2,000ksh.

#### Piki Piki allowance forms:

- This is submitted at the end of the week.
- If you have been present all week this will have 100,100,100,100,400.
- If you have been absent for one day this will have 100,100,100,100.
- Ensure the form is fully filled with all your information
- Submit these to the team leader to compile for the team

Team leader/Member responsible to submit the DMFs for all team members to one of the office team members for filing.

## Household lists:

- Each enumerator has a list with households.
- Make sure that there is a comment by each household visited if a survey was not conducted and that a survey has been conducted with each stage 1 household
- Ensure this is kept up-to-date with households that have been visited, surveyed and collected a sample from **clearly** marked.
- Write your enumerator ID beside each household sampled.
- Transfer this information over to the master list held by the team leader at the end of the cluster and the team leader will compile the master list and summary for submission.

*Team leader – this list should be updated at the end of the cluster and submitted to one of the office team members at the end of the three days in the cluster.* 

### Smartphones:

- Start charging the smartphone with the battery pack in order to reduce time spent charging all phones in the office.
- Ensure all forms are finalized before handing your phone to the office team member responsible for phones in the morning.
- At the office, turn on the phones's wifi so that the completed questionnaires can be downloaded immediately.

#### **Barcode stickers:**

• Any unused stickers must be returned to the team leader at the end of the cluster. These will be submitted to the office and stored for use in the mop up surveys.

#### Summary

On arrival at the office, check in with the office. Remember to submit, for all members of your team, every day:

- 1. The smartphones
- 2. The consent forms
- 3. Household lists (end of 3 days)
- 4. Village elder forms (end of week)
- 5. Piki piki forms (end of week)

Any unused forms should be returned to their respective boxes and the lab materials returned to the store.



This manual was created by the London Applied & Spatial Epidemiology Research Group (LASER) based at the London School of Hygiene & Tropical Medicine as part of the TUMIKIA research project. TUMIKIA seeks to determine whether combining school and community based deworming is more effective at controlling and eliminating soil transmitted helminths in Kenya than school based deworming alone, and what frequency of deworming is required to stop transmission. This research was a collaboration between LASER, Kenya Medical Research Institute and Kenya's Ministry of Health and Ministry of Education, Science & Technology.

For TUMIKIA research findings visit <u>www.lshtm.ac.uk/laser</u>



LASER combines expertise in the fields of spatial statistics and GIS technology, quantitative epidemiology and operational research to build the evidence-base around diseases of poverty and the communities they affect.

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