

**Berhan Public Health & Eye Care Consultancy
London School of Hygiene and Tropical Medicine
Consent to Participate in a Formative Research Study**

Study Name: Formative research on health behavior change in Ethiopia

Principal Investigator: Dr. Wondu Alemayehu

Tel: <Telephone number removed>

Who is sponsoring this study?

This study is being conducted by the Berhan Public Health & Eye Care Consultancy. The study is being conducted in collaboration with the London School of Hygiene & Tropical Medicine. This study is funded by the Fred Hollows Foundation.

What is the purpose of this study?

The purpose of this study is to learn more about the daily routines and practices of rural Ethiopians and how this may affect health and the transmission of disease. We hope that we will be able to use the information we learn from this study to influence health policy and programming in Ethiopia.

What will I be asked to do if I participate in this study? (interviewer to tick which component is appropriate)

Unstructured Observation + Household survey: Should you agree to participate two of our female field workers will arrange a time to visit your house. The researchers will spend time in your home and will take notes about your daily routines and the way you do things as a family. On the agreed day of the research the field workers will arrive in the morning and spend the day with you, through until evening time. On the second day they will again arrive early in the morning and spend the whole day with you, leaving that evening. You will notice that the field staff are taking notes, this is just to document and learn from what you are doing. They will not judge how you behave. The observations they make will be anonymous and when the results of this study are shared your name and identify will not be mentioned. While the researchers are in your home they will accompany you for all your daily tasks including things like water collection, food preparation and eating and farming. The researcher may also ask to take video footage or photos of you. You can decide whether you are happy with us using these photos or videos and specify how we may use them on the consent form. At any point you can change your mind or say no. Once the observation has concluded, on the afternoon of the second day, the field staff will conduct a short interview with you. They will ask you some questions about your family's household routines and your health. Altogether this process will use 2 days of your time but during this time we expect you to continue doing things as you normally would.

Structured observation + Household survey Should you agree to participate two of our female field workers will arrange a time to visit your house. The researchers will spend time in your home and will take notes about your daily routines and the way you do things as a family. On the agreed day of the research the field workers will either arrive early in the morning around the time you wake up and spend the following 4 hours with you or they may arrive after lunchtime and stay for 4 hours until the evening. You will notice that the field staff are taking notes, this is just to document and learn from what you are doing. They will not judge how you behave. The observations they make will be anonymous and when the results of this study are shared your name and identify will not be mentioned. While the researchers are in your home they will accompany you for all your daily tasks including things like water collection, food preparation and eating and farming. The researcher may also ask to take video footage or photos of you. You can decide whether you are happy with us using these photos or videos and specify how we may use them on the consent form. At any point you can change your mind or say no. Once the observation has concluded the field staff will conduct a short interview with you. They will ask you some questions about your family's household routines and your health. Altogether this process will use no more than 5 hours of your time but during this time we expect you to continue doing things as you normally would.

In depth interviews:

Should you agree to participate the researchers will ask you some questions about your family's household routines and your health. During this process they may use props and images to explore different ideas with you. There is no right or wrong answer to anything they ask and we would encourage you to be open and honest in your responses so that we can learn about your local community. These discussions will be audio recorded. The recordings will only be listened to by the researchers undertaking this study and will be used to anonymously capture things that you have said without reference to your name or your family. The interview will take place in a private location that is convenient for you. The discussion may take up to an hour.

Focus Group Discussions: Should you agree to participate our field workers will arrange a time for you and a group of 4/5 others to have a group discussion. During this discussion our field workers will ask you and the other group members questions about your family's household routines and your health. You should understand that your responses will be heard by the other members of the group but that we will ask all participants not talk about the content of the discussion with others individuals in the community who were not part of the discussion. These discussions will be video recorded. The video recordings will only be watched by the researchers undertaking this study and will be used to anonymously capture things that you have said without reference to your name or your family. The group discussions will take place in a private location that is convenient for all participants. The discussion may take up to an hour and a half.

Where is the study taking place?

This research is taking place in 4 communities in Oromia and some further research may also be done in a community in Tigray district. Approximately 50 – 100 people will participate in the research.

Your participation is VOLUNTARY

Study staff will help you understand this form and answer your questions. You are free to choose whether you want to participate or not. If you do agree, you are still free to withdraw participation at any time without any consequences to you or your family; should you withdraw from the study, you will continue to obtain the regular benefits of any health care services you normally get at the clinic.

What are the possible benefits to being in the study?

Research is designed to benefit society by gaining new knowledge which will help shape future health programs. Following your participation in the study you will receive some basic information about some of the health issues that are common in your area and how you can seek care. You may receive no direct benefit from the study.

What are the possible risks or discomforts involved with being in this study?

Researchers are always asked to explain any risks to people who take part in the study. This study does not pose any risks to potential participants or their families. We will train and sensitize our field workers to respect participant's emotions and make sure our staff are aware that the research is aimed at understanding and documenting behaviour; not judging participants or their families. If however, you feel uncomfortable during any stage of the intervention please let our staff know or the field supervisor know.

Will people find out what I do or say?

Your personal identity will be protected at all times and nothing the researcher observes you doing or discusses with you will be linked to you by name when they write up the study. If you feel uncomfortable with the researcher being in your house or watching what you do then you should say so. You can stop the study at any time, for any reason.

What if I have questions about this study?

You have the right to ask, and have answered, any questions you may have about this research. If you have questions, complaints, or concerns please contact:

Dr. Wonda Alemayehu, Principal Investigator, or the Community Coordinator, Mr. Mergia Abera, Mobile Telephone: number < telephone number removed >

What if I have questions about my rights as a research participant?

All research on human volunteers is reviewed by Oromia health Bureau Ethical Review Board/LSHTM, a committee that works to protect your rights and welfare. If you have questions or concerns about your rights as a research participant you may contact:

Name Mr. Daniel Kabaa

Address Addis Ababa, Ethiopia

Phone < telephone number removed >

Informed Consent Form

HH ID:

Title of Study: Formative research on health behaviour change

Participant's Agreement:

I have read/been read the information provided above and I have understood it. I have asked all the questions I have at this time. I understand that it is my right to withdraw from the study at any time without it affecting myself or my family. I understand that these conditions also apply to any children or dependents for whom I give consent to participate in the study.

I voluntarily agree to participate in this research study (tick one box).

Yes No

I give my consent for all household members below the age of 18 years and for whom I am the parent of guardian to participate in the study (tick one box).

Yes No Not Applicable

I give permission for observations or things that I say during interviews or focus groups to be reported anonymously to communicate the findings of this research, to analyse this research and for teaching purposes. Information about the study could potentially be seen by researchers and students in Ethiopia and beyond and by health professionals and decision-makers in Ethiopia and beyond (tick one box).

Yes No

Photos and/or video of me may be taken to document the research.

Yes No

I give permission for these photos/videos to be used in the following ways:	YES <input checked="" type="checkbox"/>	NO <input checked="" type="checkbox"/>
As part of this study report		
In other reports, campaigns and publications by LSHTM or affiliated partners and donors		
On the LSHTM website or in other media about this study		

Signature or Thumbprint of Research Participant/s over 18:

On behalf of any participants under the age of 18

Signature of Witness

Date

***Note to person taking consent: Witness signature and date are required on this consent form only when the consenting volunteer is not able to read (illiterate).**

Assent Form (For children aged 7-14 years)

HH ID:

Title of Study: Formative research on health behaviour change

Hello, my name is <INSERT NAME> and I work for Berhan Consulting, a group who collect information from people in the community. Your parents / guardians have agreed for this household to part of a research study, but I also need to ask you if you are happy to take part. The study is to learn more about the daily routines and practices of rural Ethiopians and how this may affect health and the transmission of disease.

If you agree to take part then the researchers who will be in your home will take notes about what you are doing during the time they are in your home. You will not be asked to answer any questions. Your name will not be recorded and nobody will know that your family took part in the study.

You don't have to take part in the study if you don't want to even though the researchers will be in your home. You can ask me any questions about the study now.

If you are willing to take part I need to ask you to write your name or make you thumb print on this sheet to show that I have asked your permission.

I am willing to take part in this study (tick one box).

Yes No Age of participant (in years)

I agree to photos or video of me to be taken to document the research (tick one box).

Yes No

I give permission for these photos/videos to be used in the following ways:	YES <input checked="" type="checkbox"/>	NO <input checked="" type="checkbox"/>
As part of this study report		
In other reports, campaigns and publications by LSHTM or affiliated partners and donors		
On the LSHTM website or in other media about this study		

Signature or Thumbprint of Research Participant

Date

Printed Name of Research Participant

Signature of Witness

Date

Note to person taking consent: Witness signature and date are required on this consent form only when the consenting volunteer is not able to read (illiterate).