IDEAS Private Sector Study of Barriers and Facilitators to Data Sharing
Interview Guide for General Stakeholders / June 2014

1. Role and functions related to the private health sector (with a focus on UP)

2. Existing data sharing practices
   - Do **private sector providers** share any health data at present with the government of UP?
     - Details of types of data, health issues, mechanisms/processes/ for sharing data, frequency? Ask for concrete examples.
     - Explore:
       - Data collection
       - Data quality/completeness
       - Willingness to share
       - How is this used?
       - How was this initiated?
       - Is it working or not working?
       - What are the successes of this system and what are the challenges?

Other probe questions:
   - How are these data processed and used? Are these integrated into the district HMIS/report? Which ones are included?
   - What kind of feedback are provided to the private facilities who submit the data?
   - What kind of actions are taken if data are not submitted/delayed?

3. Is there any informal data sharing also that occurs? What is the forum in which this happens?

4. **CRITICAL DATA**
   - What in your view are some of the most critical data (indicators) on which private service providers should provide data to the government?

5. **BARRIERS AND ENABLERS TO HEALTH DATA SHARING**
   - Are there **barriers and enablers** to private providers sharing health data with government? What are these? Which of these are the **main** barriers/enablers/ the most critical ones?

   **Institutions**
   - Does the regulatory environment in UP affect private sector data sharing? How?
   - Probe questions:
Regulatory environment – existence and strength of mandatory enforcement, ask for concrete examples]

Rules and ways of working – do government rules and ways of working make it difficult to make changes? Ask for concrete examples]

Data collection and management formats and procedures

Do data collection and management formats and systems affect private sector data sharing?

Do government data/HMIS formats and procedures enable or prevent private sector Health providers sharing health data? How? [probe – complexity of government data formats/procedures and ask for concrete examples of formats – see a few samples if possible]

Do these issues stem from state-level barriers, district or lower level (through to individual level)

Motivation and incentives

Do public and private sector providers’ motivations and incentives prevent or enable data sharing?

Probes:

Are private sector health providers motivated/incentivised to share health data with government/or not? How or how not? [probe - financial interests/taxes, incentives to share data, effort/staff/resources required]

Are government officials motivated/incentivised to collect and use private sector health data? How?

Attitudes

Do private sector providers’ attitudes enable or prevent them sharing health data? How? [probe - Trust/relationships between sectors; willingness/resistance to change; understanding the value of data sharing]

Do government officials’ attitudes enable or prevent private sector health providers sharing health data? How? [probe - Trust/relationships between sectors; willingness/resistance to change; fears; understanding the value of data sharing]

Also probe for whether any of the motivational and attitudinal issues are more specific to different levels – state, or district level.

6. Where in your view do most blockages occur?

- Data collection
- Completeness of data
- Quality of data
- Motivation and willingness to share
7. SUGGESTIONS AND RECOMMENDATIONS

7.1. What steps you think government/private sector providers should take or can take to encourage private health service providers to submit data to the government?

Probe for: what can be done with individual providers at different levels and with associations